

Lecture #17

# Ray Tracing – Secondary Effects

Computer Graphics  
Winter Term 2020/21

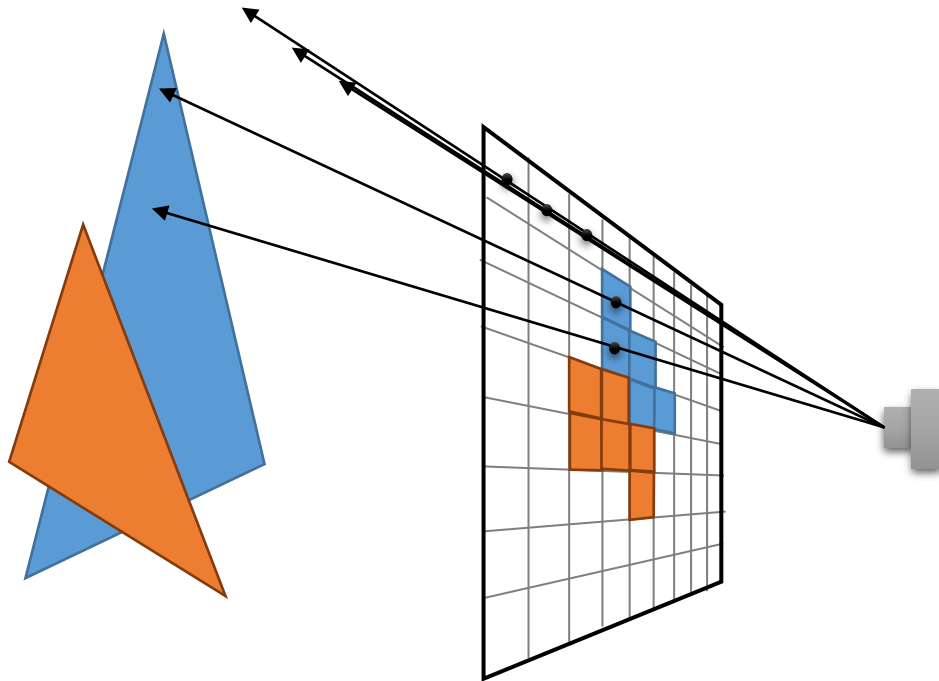
Marc Stamminger / Roberto Grosso

# Ray Casting

- Ray Casting  $\subset$  Ray Tracing

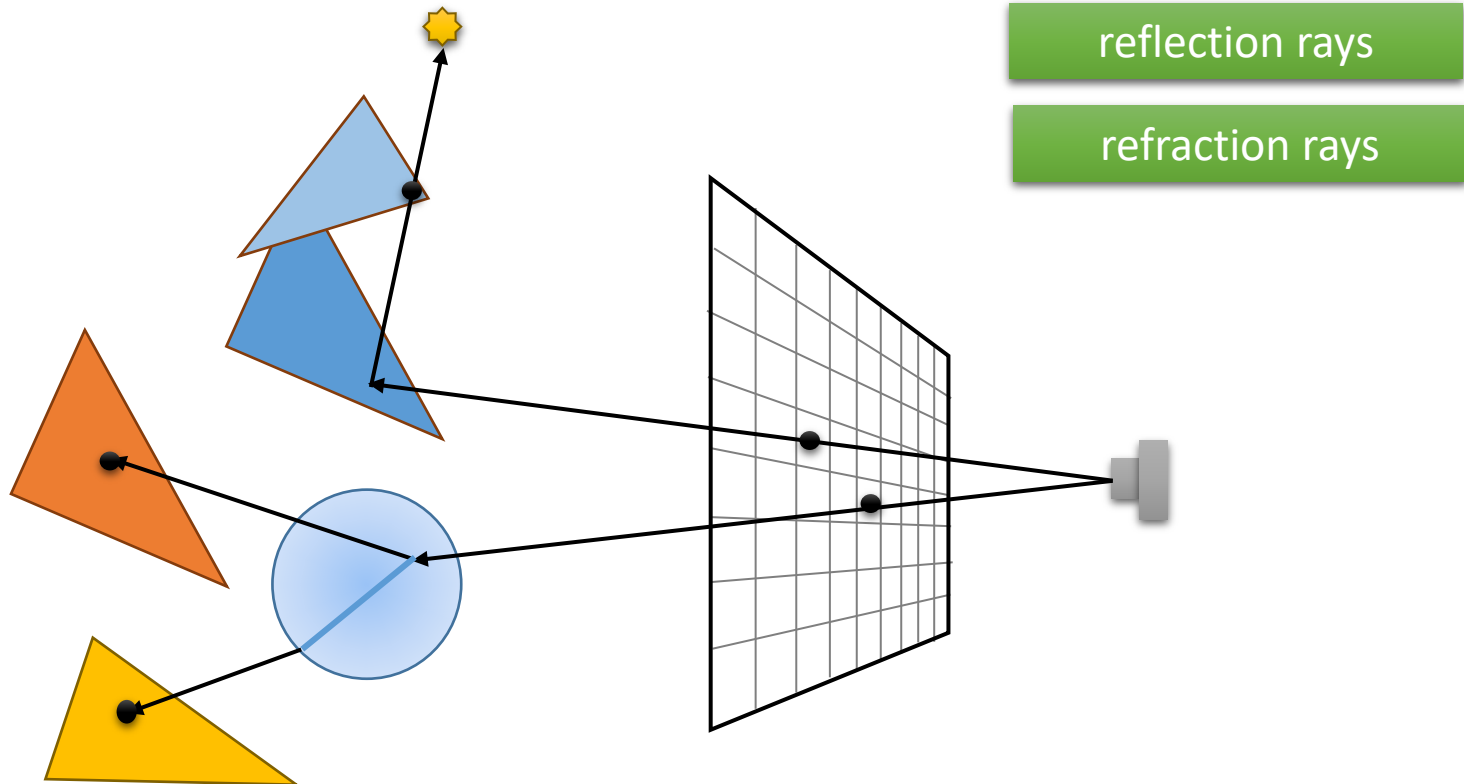
for each pixel  $p$   
cast a ray through pixel  $p$   
shade  $p$

= “find scene  
point visible in  $p$ ”



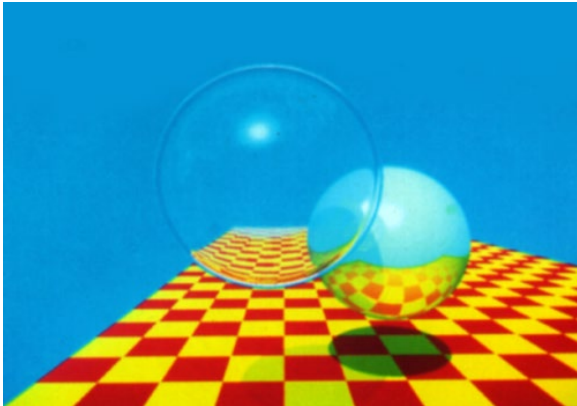
# Ray Tracing

- Ray Casting → Ray Tracing

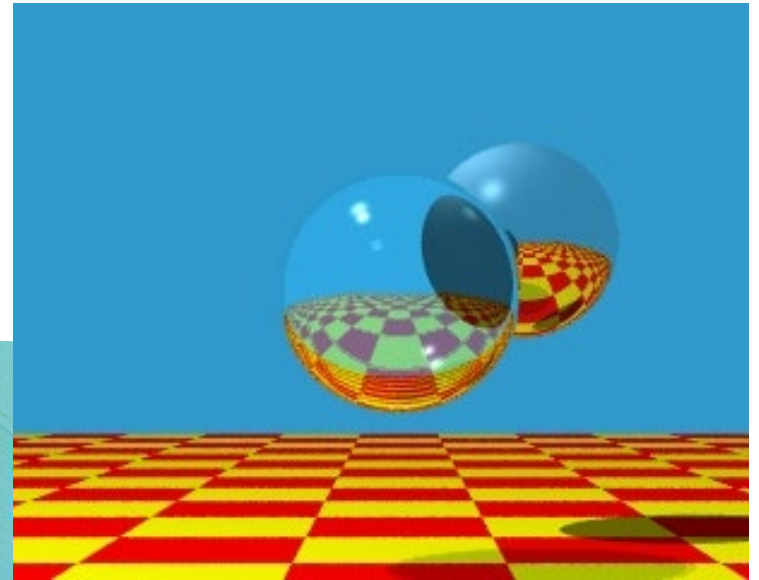
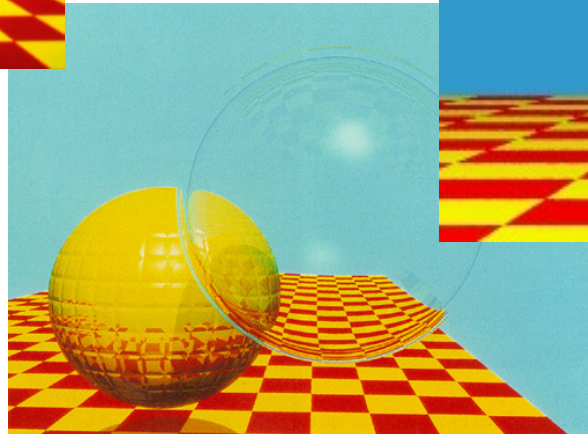


# Introduction

- 1968: Ray Casting: Arthur Appel
- 1979: Recursive ray tracing: Turner Whitted



reflection  
and  
refraction



Images by Turner Whitted

# Introduction

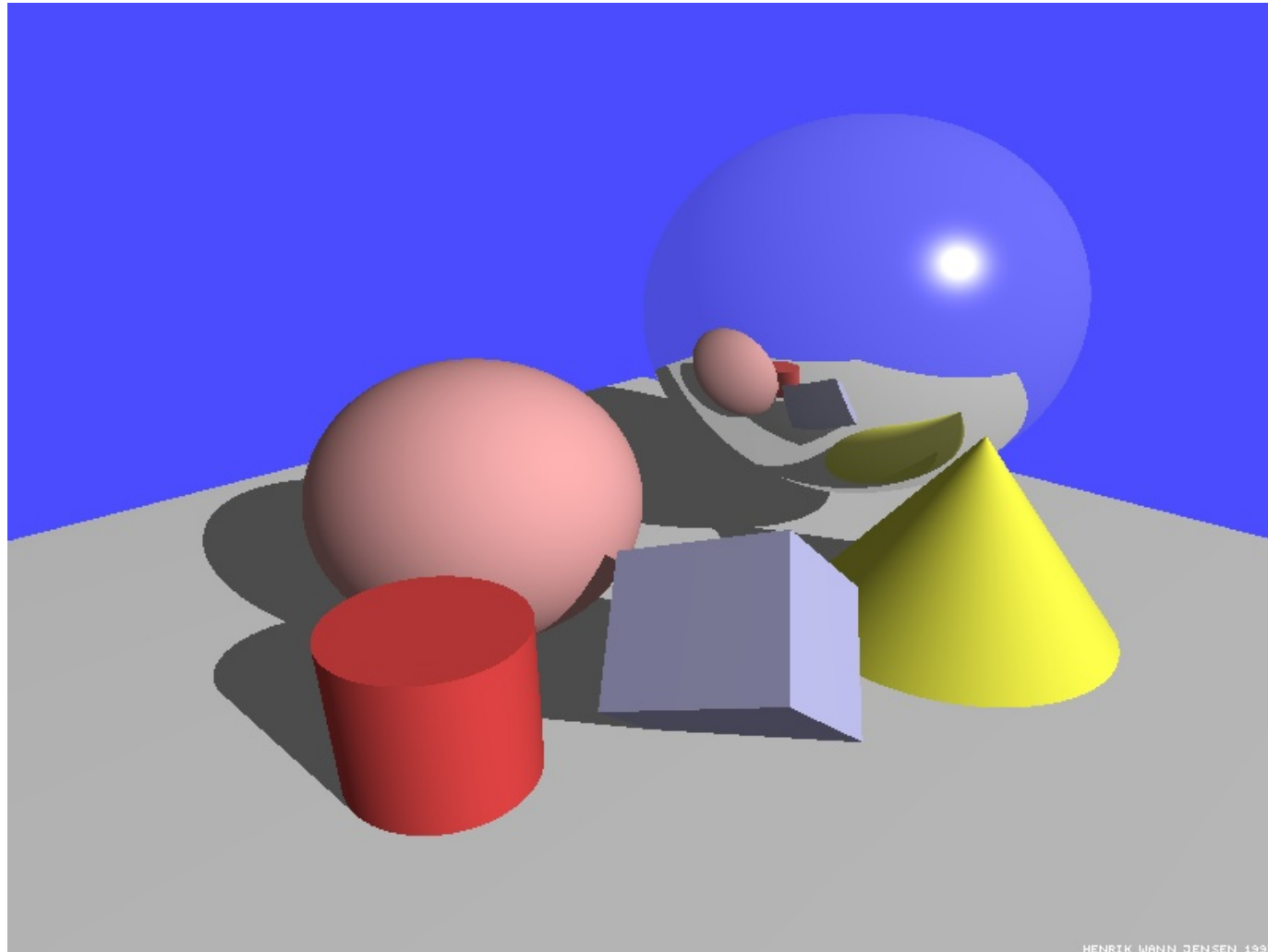


Image by Henrik Wann Jensen. He writes: One of my first ray tracing images (1990-1991). Rendered first time on an Amiga in HAM mode (the good old days).

# Basic Ray Tracing

```
for each pixel p
  compute eye ray
  c = raytrace(ray,0)
  set pixel p to color c

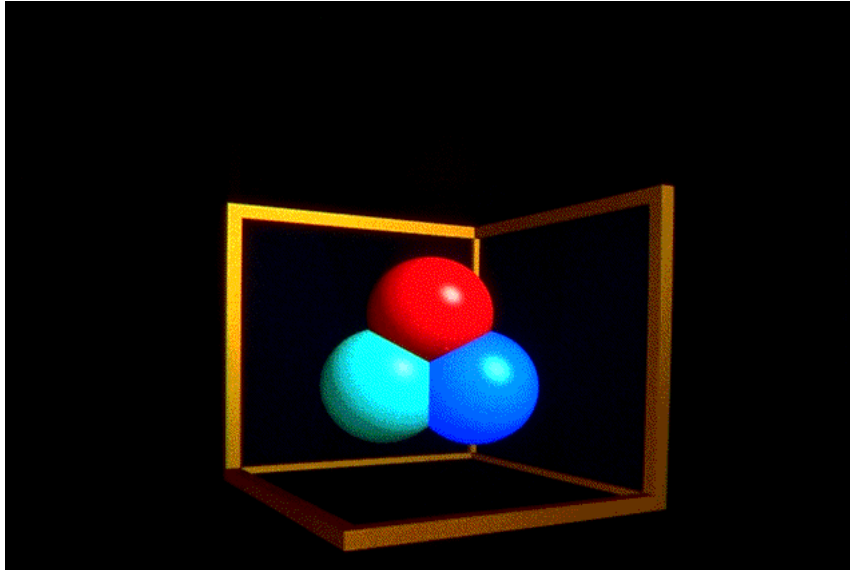
raytrace(ray,depth)
  hit = intersect(scene,ray)
  c = black;
  if (hit.shader.isReflective() and depth < maxdepth)
    reflray = compute reflection ray
    c += raytrace(reflray,depth+1) * reflcolor
  if (hit.shader.isRefractive() and depth < maxdepth)
    refrray = compute refraction ray
    c += raytrace(refrray,depth+1) * refrcolor
  shadowRay = compute shadow ray
  if (intersect(scene,shadowRay)
    c += hit.shader.ambientColor
  else
    c += hit.shader.computePhongColor();
  return c;
```

# Ray Tracing

- Reflected rays can generate other reflected rays that can generate other reflected rays, etc. (recursion)

→ Layers of reflection

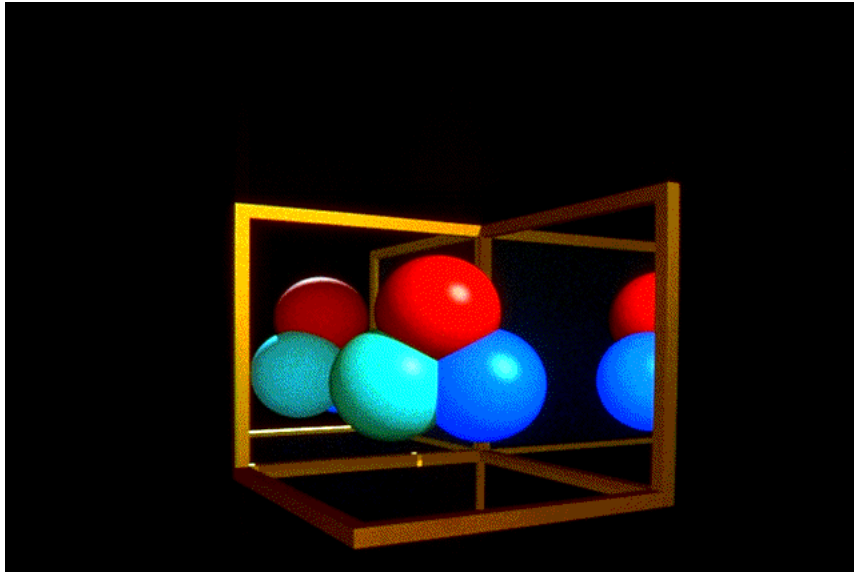
- Scene traced with no reflection.



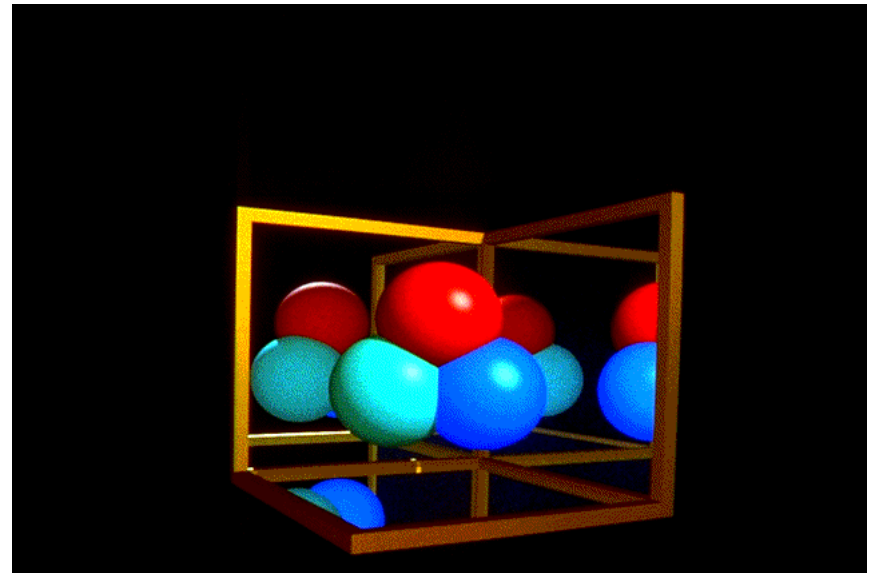
no reflection, maxdepth = 0

Source Image by Michael Sweeny, SIGGRAPH, 1991

# Ray Tracing



a single reflection, maxdepth =1

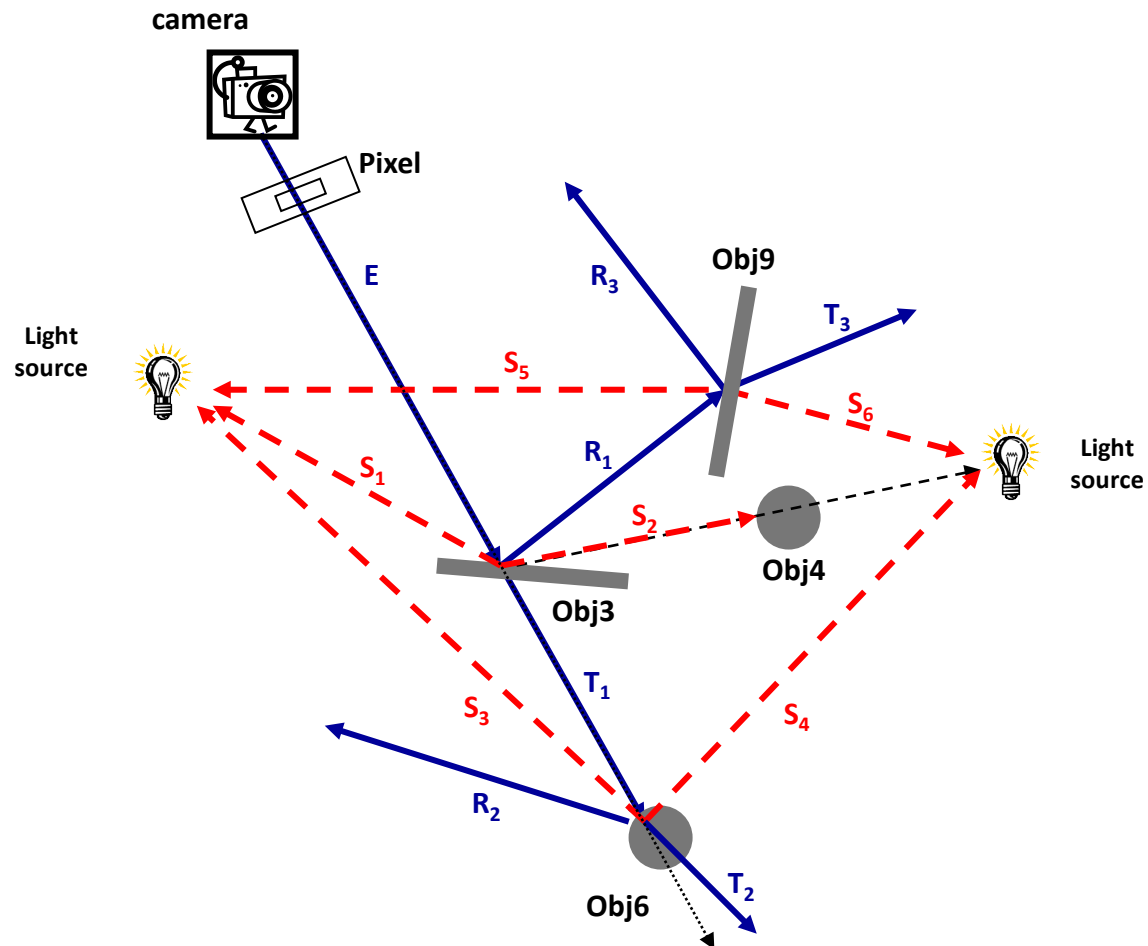


double reflection, maxdepth =2

Source Michael Sweeny, SIGGRAPH, 1991

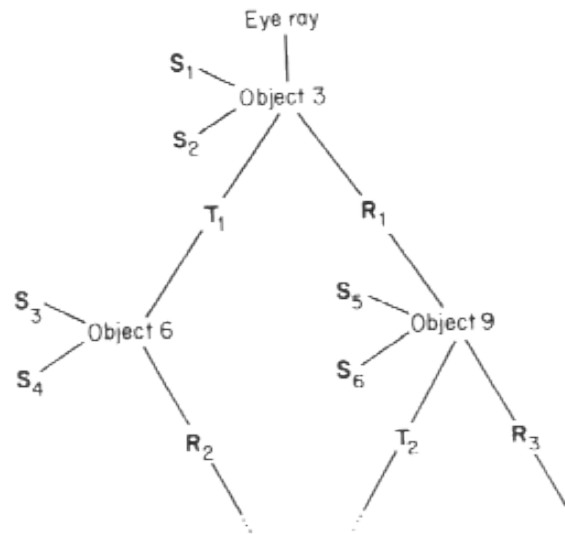


# Basic Ray Tracing

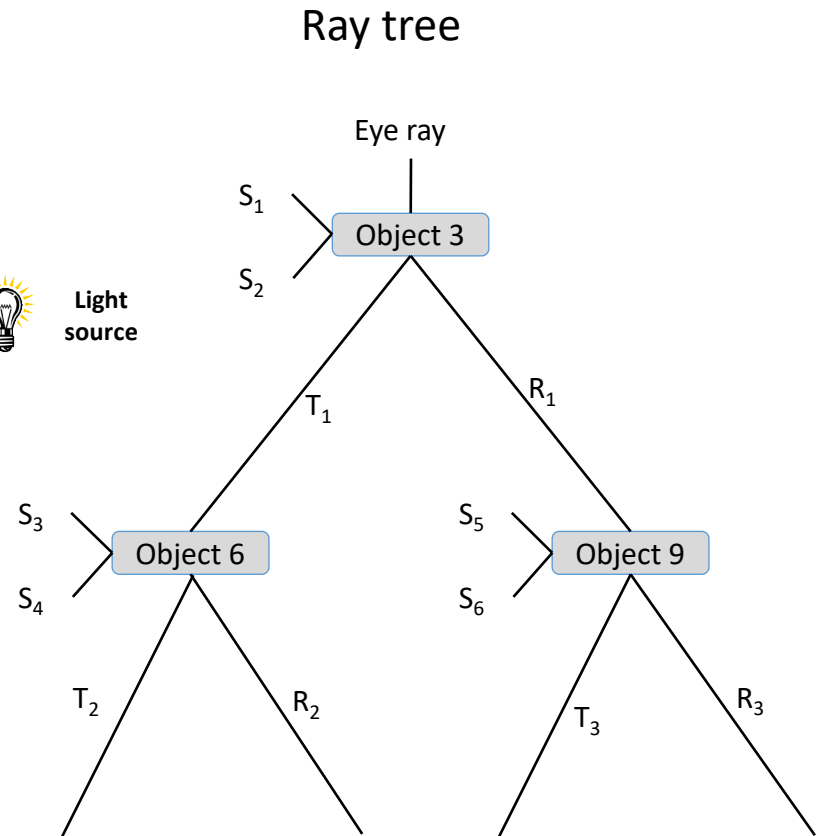
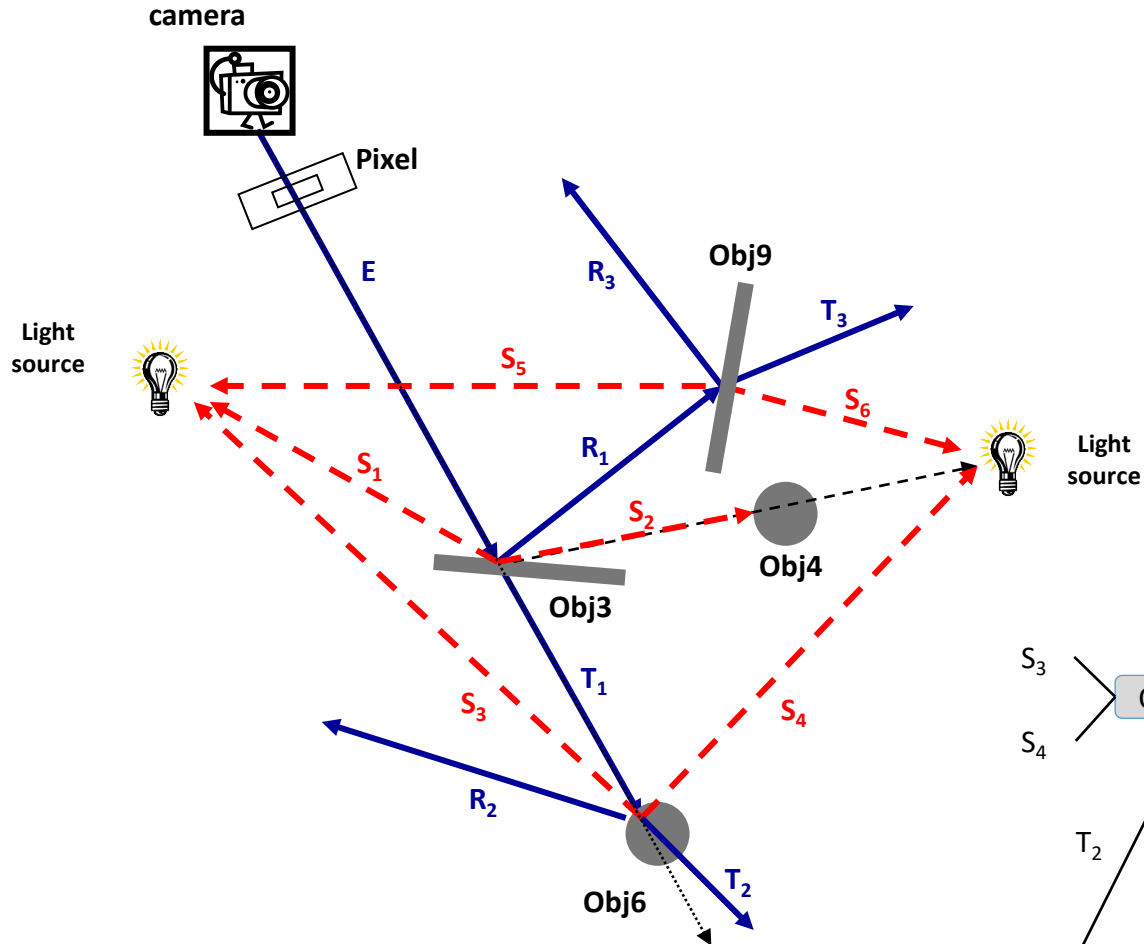


# Basic Ray Tracing

- Rays form a **Ray Tree**
- Recursive illumination calculation
- Many rays per pixel ! → millions or billions of rays...



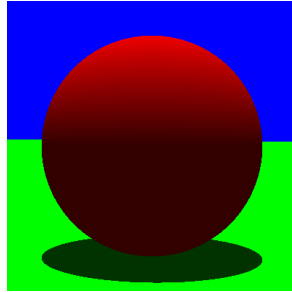
# Basic Ray Tracing



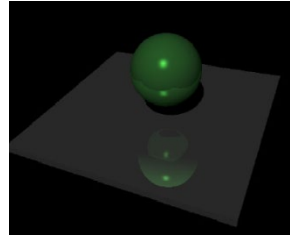
# Basic Ray Tracing

- Topics today:

- Shadows



- Reflection



- Transmission / Refraction

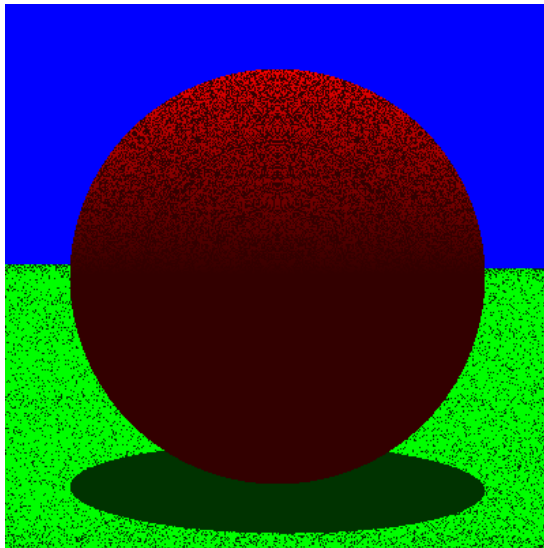


- Ray Differentials

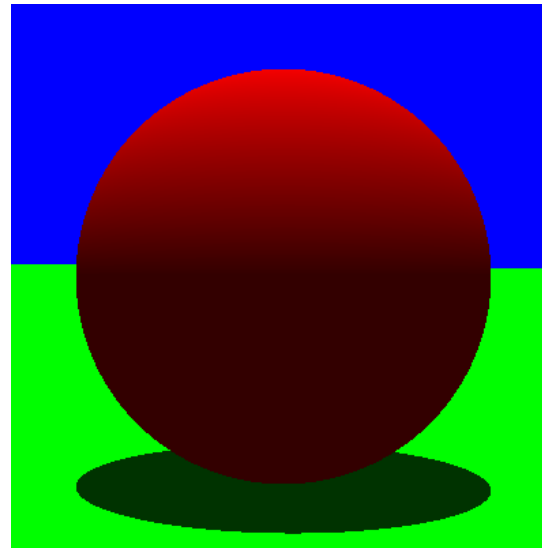


# Shadows

- Shadow rays:
  - only intersection between surface point and light source are of interest
  - only search for intersections with  $t \in [t_{min}, t_{max}]$
  - $t_{min} = 0$  ?  $\rightarrow$  better not  $\rightarrow$  **self shadowing**  
= intersection of shadow ray with object itself due to numerical issues



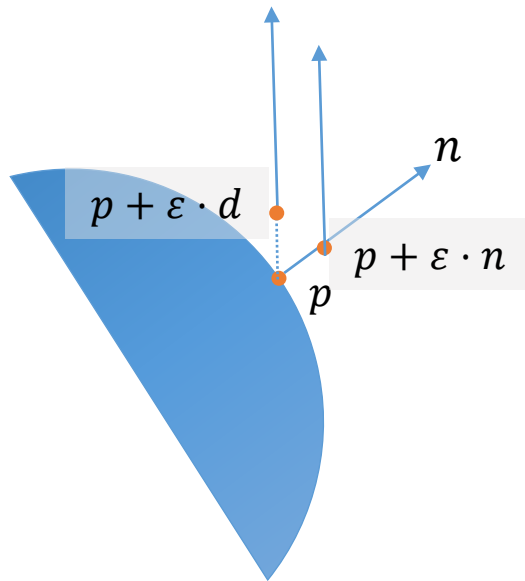
Self-shadowing



Shadow ray with epsilon

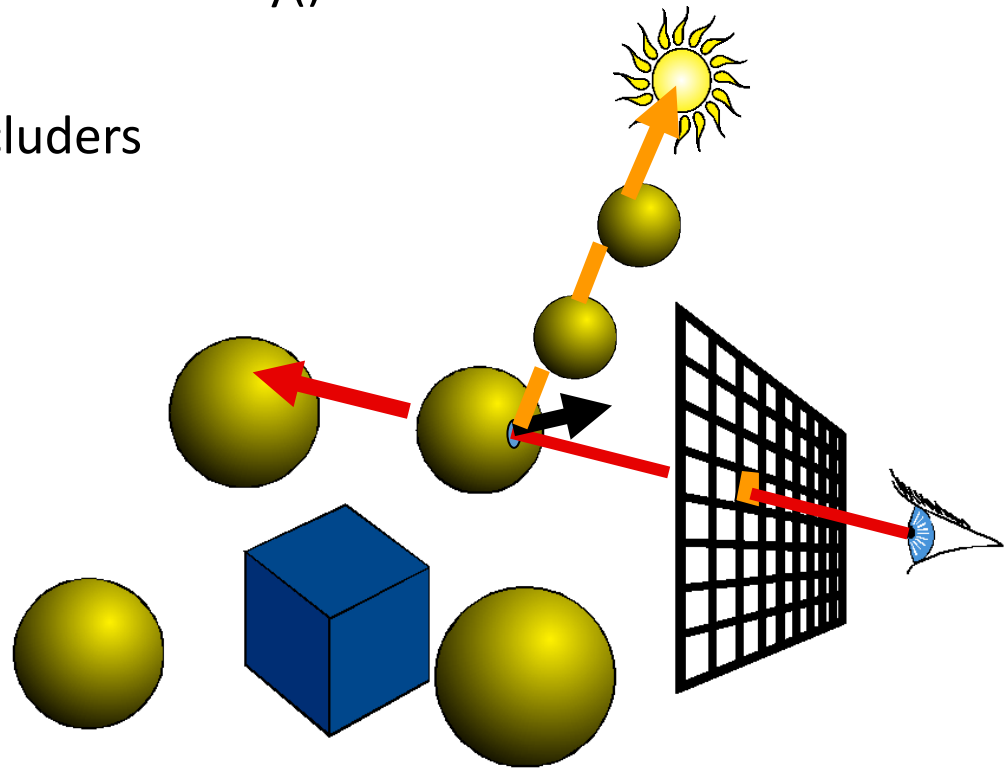
# Shadows

- start secondary ray at  $p = e + t \cdot d$   
→ self shadowing
- Use instead  $p + \varepsilon \cdot d$  or  $p + \varepsilon \cdot n$  (offset along the normal)
- or use  $t_{min} = \varepsilon$



# Shadow optimization

- Shadow rays are special:
  - we only want to know *whether* there is an intersection, not *which* one is closest
- Special routine `Object3D::intersectShadowRay()`
  - Stops at first intersection
- optional: **transparent** shadow occluders
  - gather opacity along shadow ray
  - all intersections needed
  - cannot consider refraction !



# Shadows

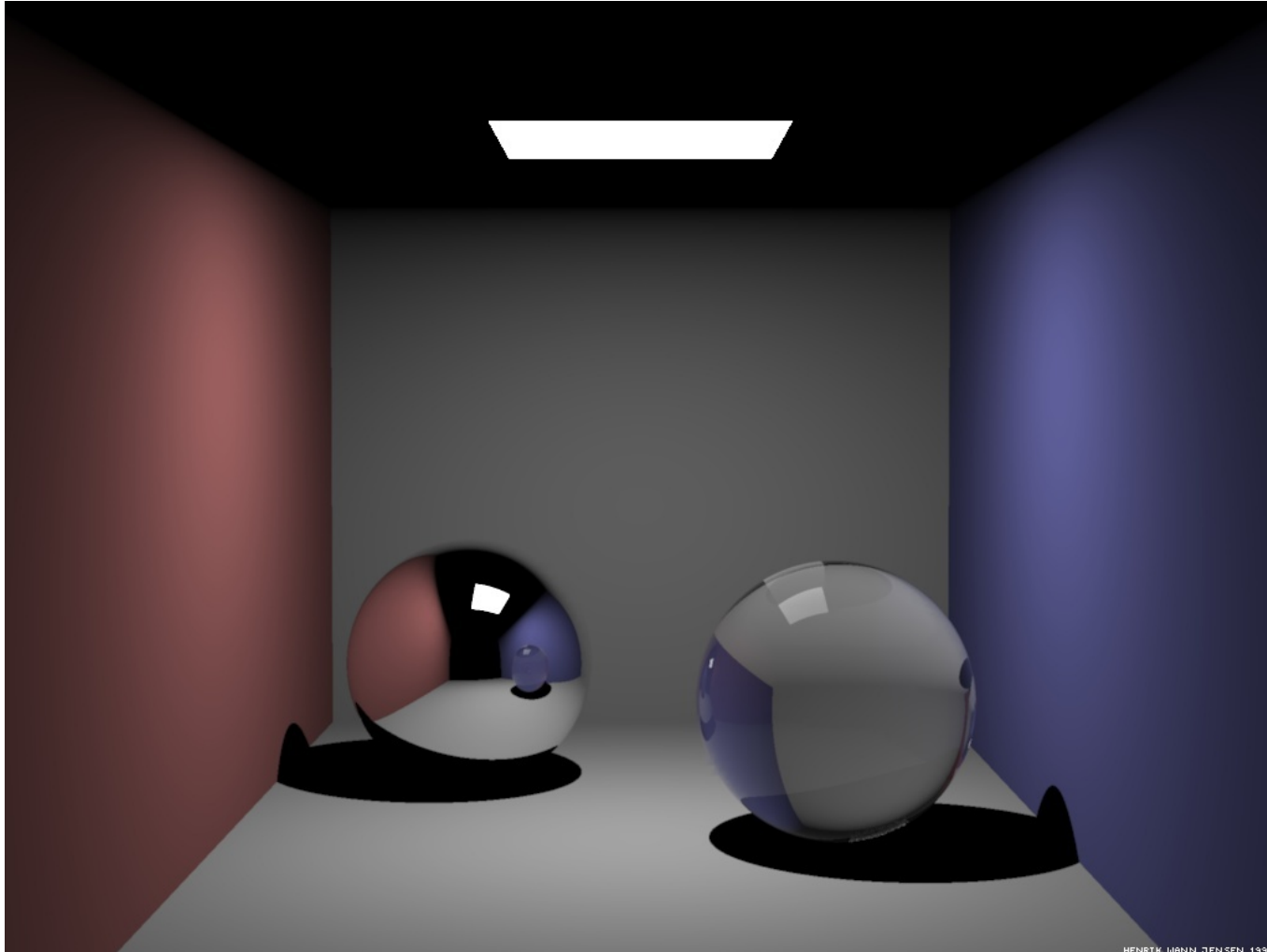
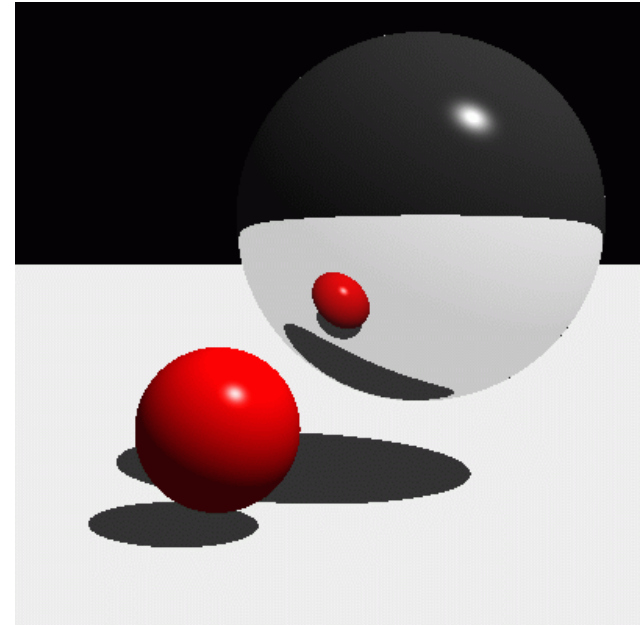
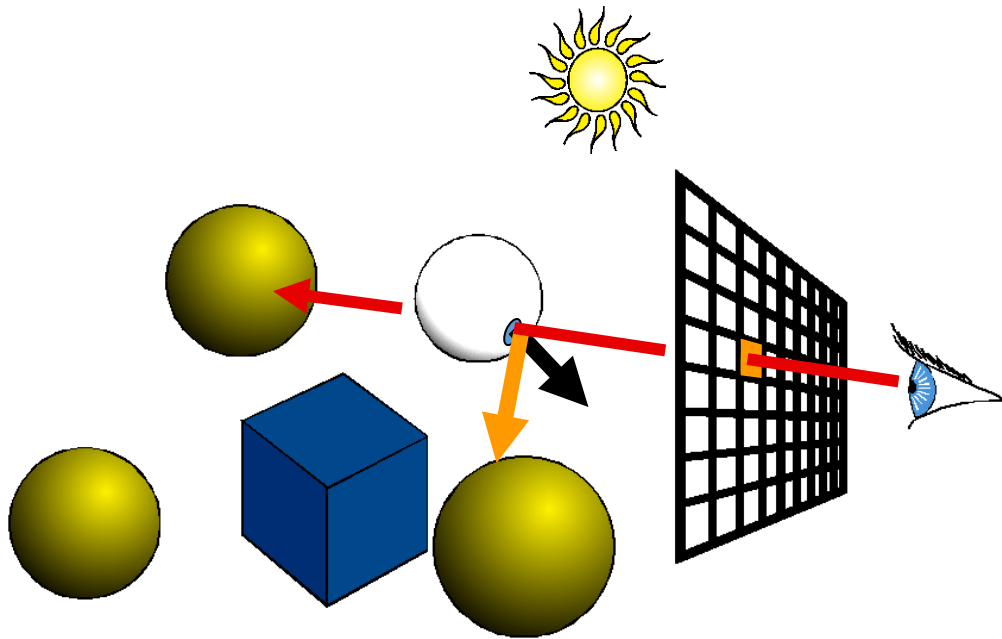


Image by Henrik Wann Jensen



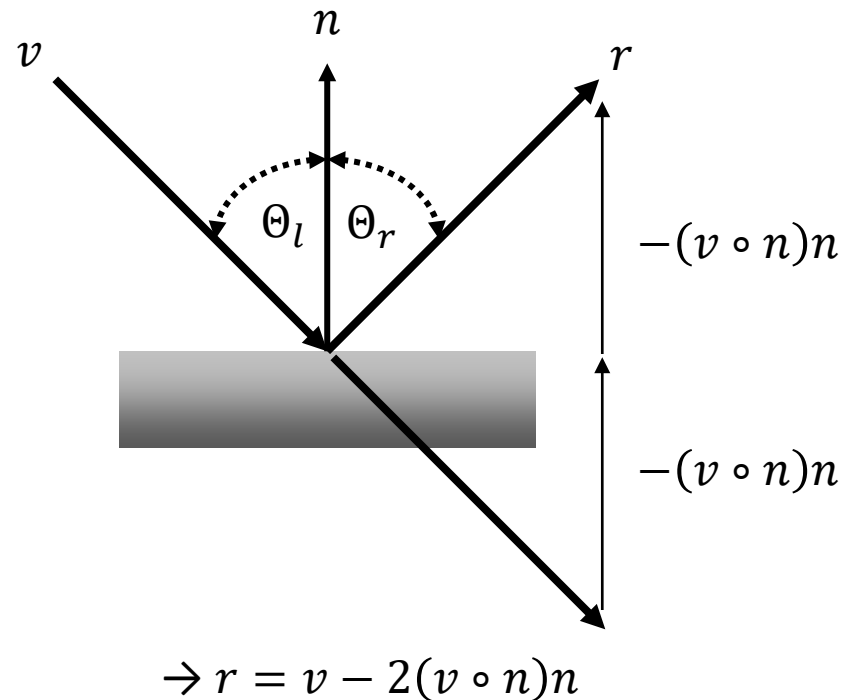
# Reflection

- Reflection
  - Compute mirror contribution



# Reflection

- Reflection
  - Compute mirror contribution
  - For every hit point  $x$  cast reflection ray in direction symmetric w.r.t. normal, angle of incidence equals angle of reflection.
- Multiply by reflection coefficient (color)  
Pixel color =  
    lighting at  $x$  +  
    reflection coeff.  $\times$  light of reflected ray
- In reality: reflection color varies with angle of incidence (Fresnel)  $\rightarrow$  later



# Reflection

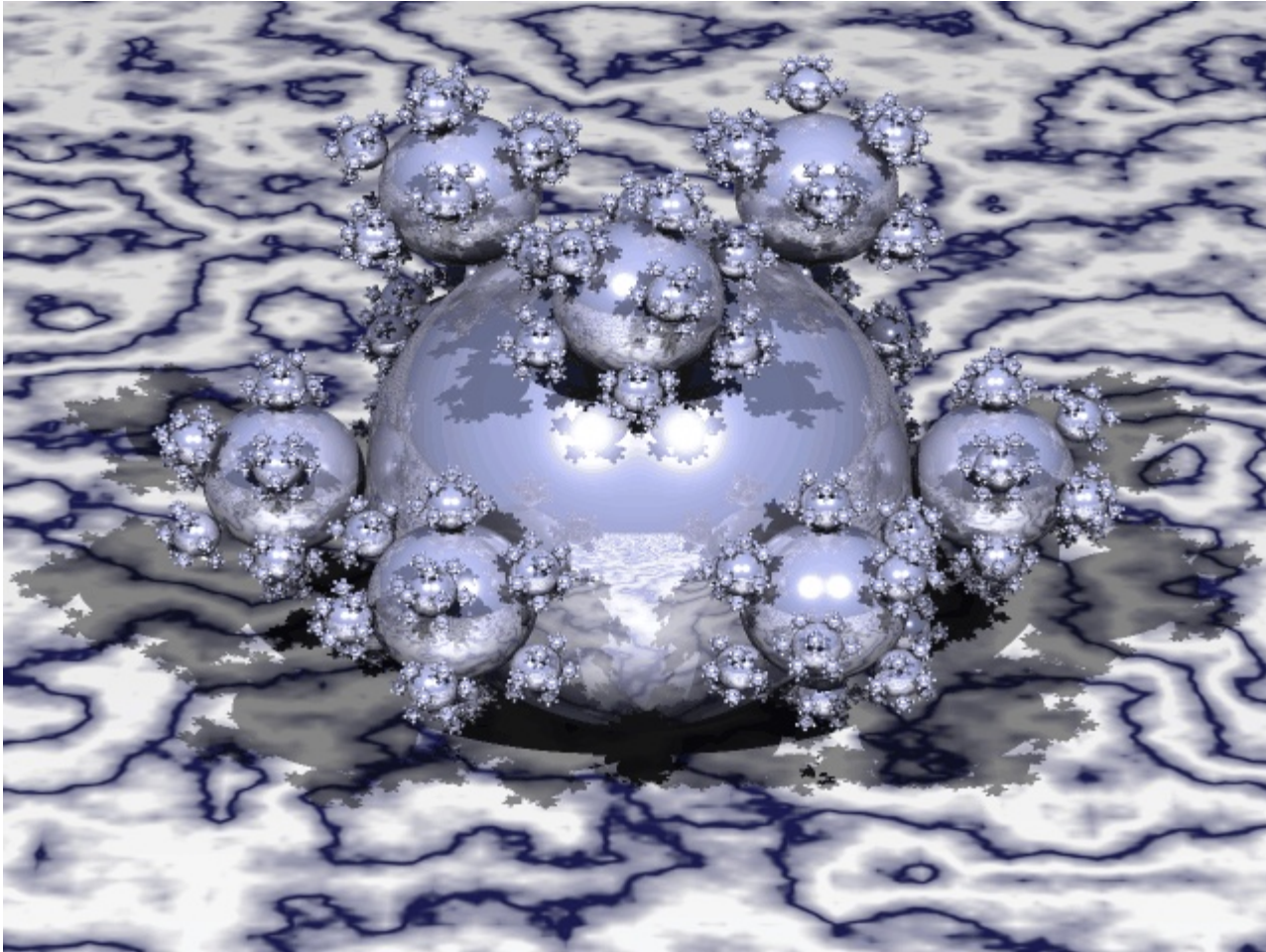
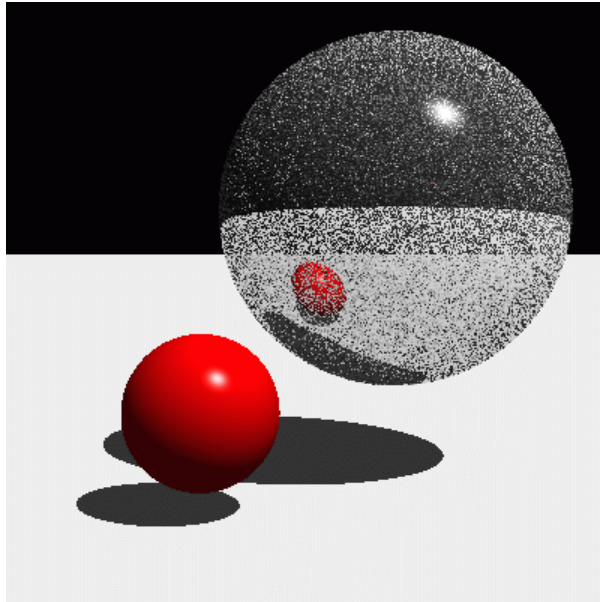


Image by Henrik Wann Jensen, 1992.

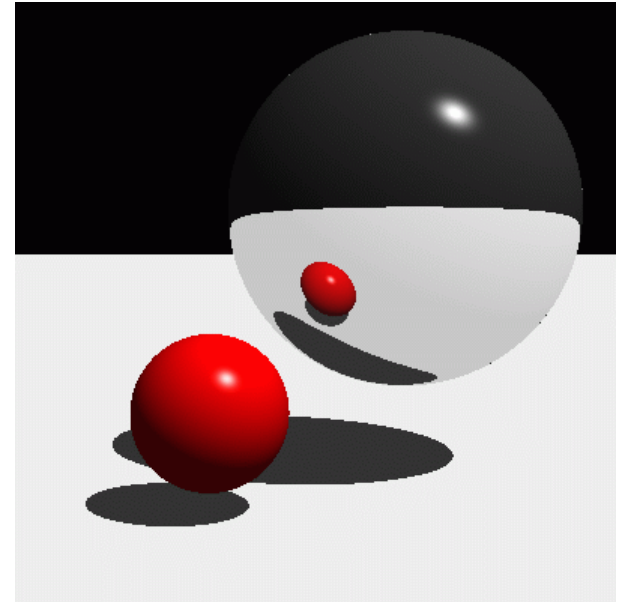
It is a procedural (fractal object). Here approximated using 500.000 spheres.

# Reflection

- Don't forget to add epsilon to the ray  
→ same problem as with self shadowing



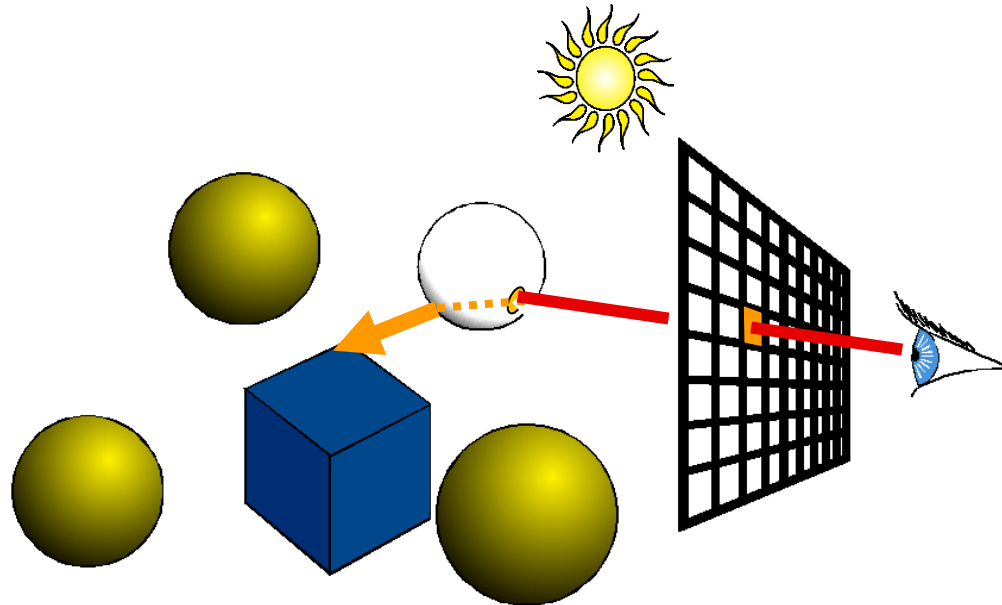
without epsilon



with epsilon

# Refraction

- Compute refracted contribution

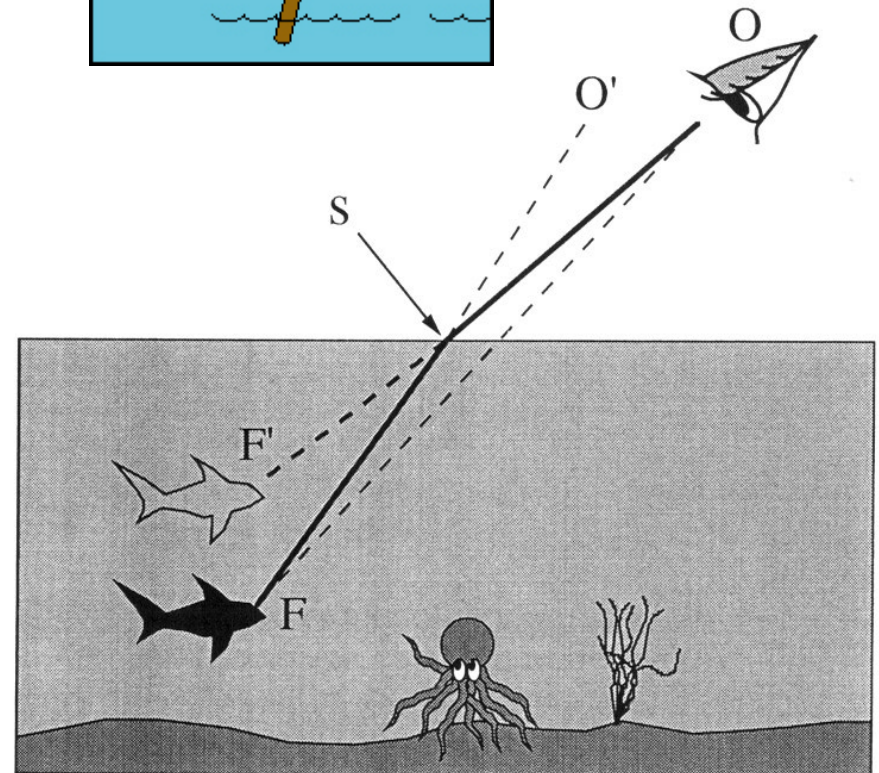
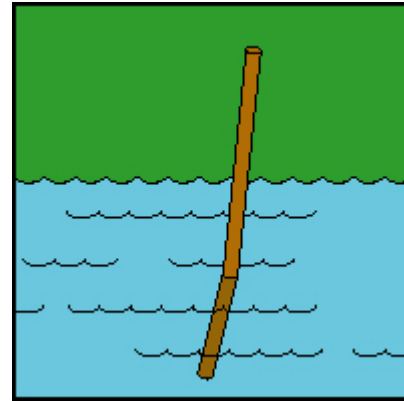


# Refraction

- For every hit point  $x$ , cast a ray in direction of refraction
- Pixel color = lighting at  $x$ 
  - + reflection coeff.  $\times$  light of reflected ray
  - + refraction coeff.  $\times$  light of refracted ray

# Refraction

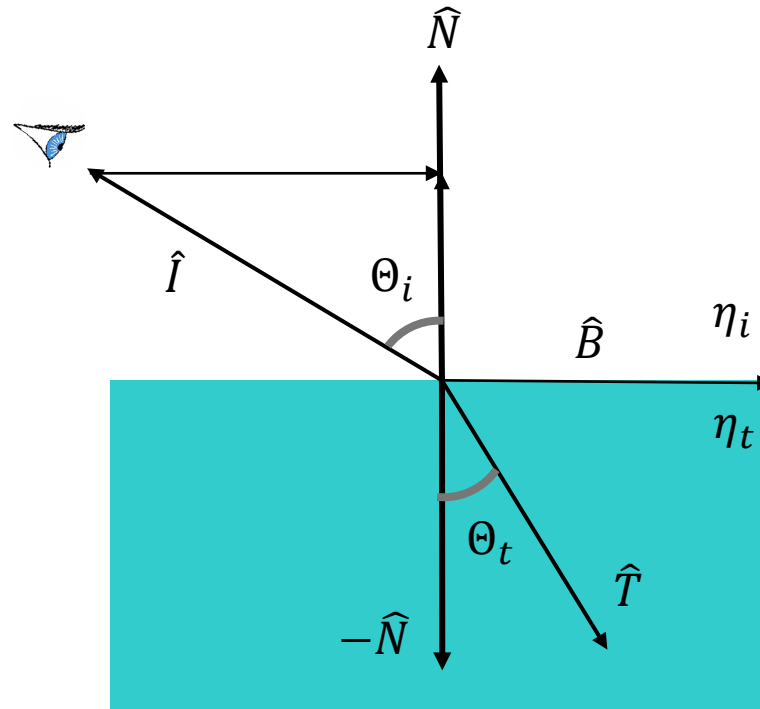
- Straight stick in water
- Light bends at the point where it enters the water
- light passing from one transparent medium to another changes speed of light and bends trajectory
- Effect depends on
  - refractive index of mediums
  - Angle between light ray and normal



# Refraction

- Snell-Descartes Law

- two media with different refraction indices  $\eta_i$  and  $\eta_t$
- $\frac{\sin \Theta_i}{\sin \Theta_t} = \frac{\eta_t}{\eta_i} = \eta_r$





# Reflections and Refractions: Example from ShaderToy

- ShaderToy:  
Website with lots of nice render examples, all implemented in a single shader
- Very often ray-tracer in a shader (such as this example)
- Look at the example and search for “refraction” in the code



[shadertoy.com - Buoy](https://shadertoy.com/view/XtysDw)

# Reflection + Refraction

- Fresnel equations

- light moves from one medium with refractive index  $n_1$  to a medium with refractive index  $n_2$ .
- part of the energy is **reflected** and part is **transmitted**.
- the fraction of the power reflected is given by the reflectance  $R$ .
- the fraction of the power transmitted is given by the transmittance  $T$ .
  
- The constants  $R$  and  $T$  depend on the polarization of light.
- The angles of the incident and refracted rays with the normal of the interface are given by the Snell-Descartes law.

# Reflection + Refraction

- s-Polarization: electric field perpendicular to plane

$$R_s = \frac{\sin^2(\Theta_t - \Theta_i)}{\sin^2(\Theta_t + \Theta_i)} = \left( \frac{n_1 \cos \Theta_i - n_2 \cos \Theta_t}{n_1 \cos \Theta_i + n_2 \cos \Theta_t} \right)^2$$

- p-polarization: electric field parallel to plane

$$R_p = \frac{\tan^2(\Theta_t - \Theta_i)}{\tan^2(\Theta_t + \Theta_i)} = \left( \frac{n_1 \cos \Theta_t - n_2 \cos \Theta_i}{n_1 \cos \Theta_t + n_2 \cos \Theta_i} \right)^2$$

- un-polarized light

$$R = \frac{R_s + R_p}{2}$$

# Reflection + Refraction

- Transmission coefficients

$$T_s = 1 - R, \quad T_p = 1 - R_p, \quad T = 1 - R$$

- If light is normal incident

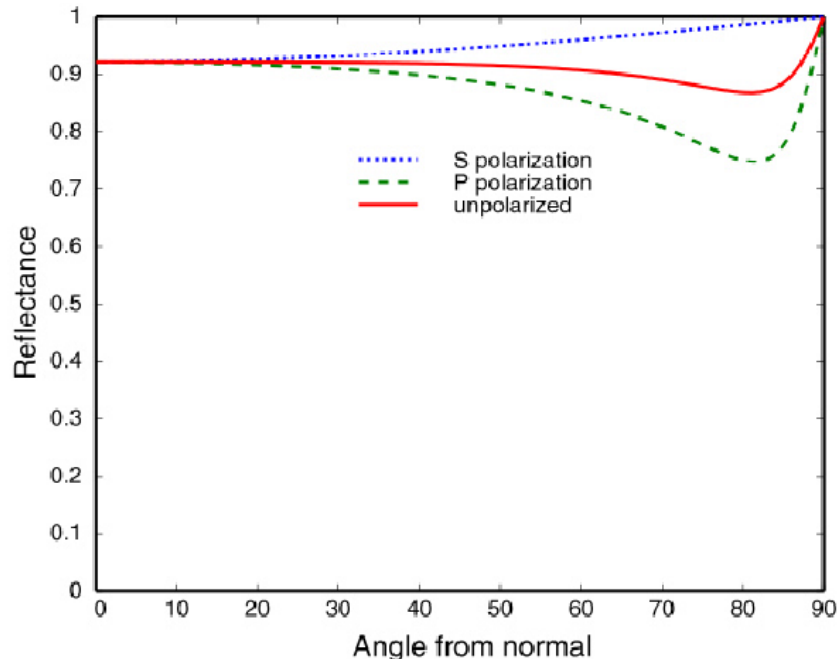
$$R_0 = R_s = R_p = \left( \frac{n_1 - n_2}{n_1 + n_2} \right)^2$$

$$T_0 = T_s = T_p = 1 - R = \frac{4n_1n_2}{(n_1 + n_2)^2}$$

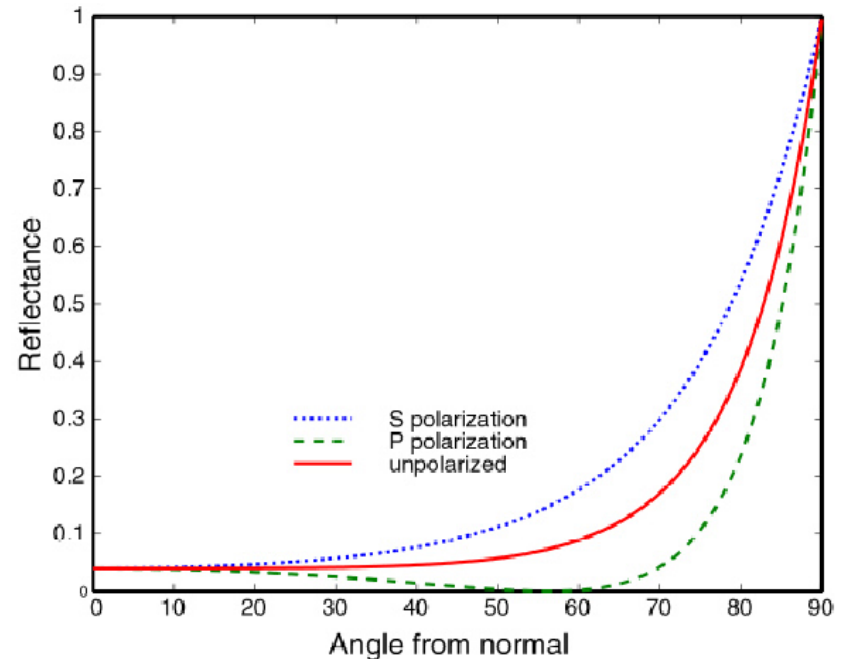
- Schlick approximation (Schlick, 1994)

$$R(\Theta_i) = R_0 + (1 - R_0)(1 - \cos \Theta_i)^5$$

# Reflection + Refraction



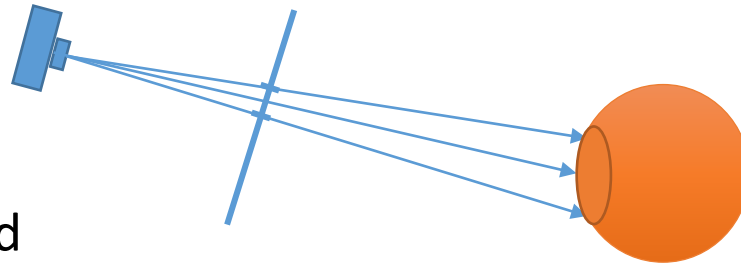
metal



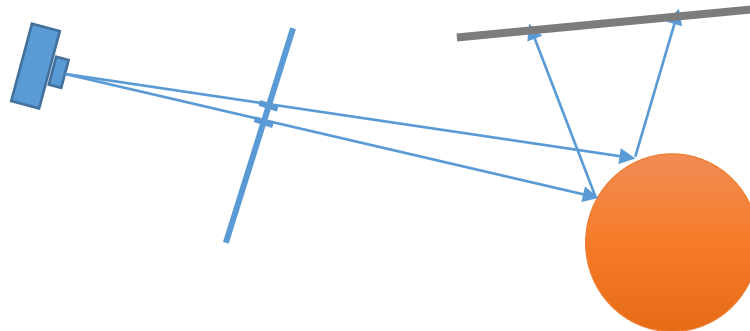
Dielectric (glass)

# Ray Differentials

- Known problem: a ray is infinitely small
- Texture filtering requires size of ray bundle representing current pixel  
→ “footprint” → see lecture “Texture aliasing”

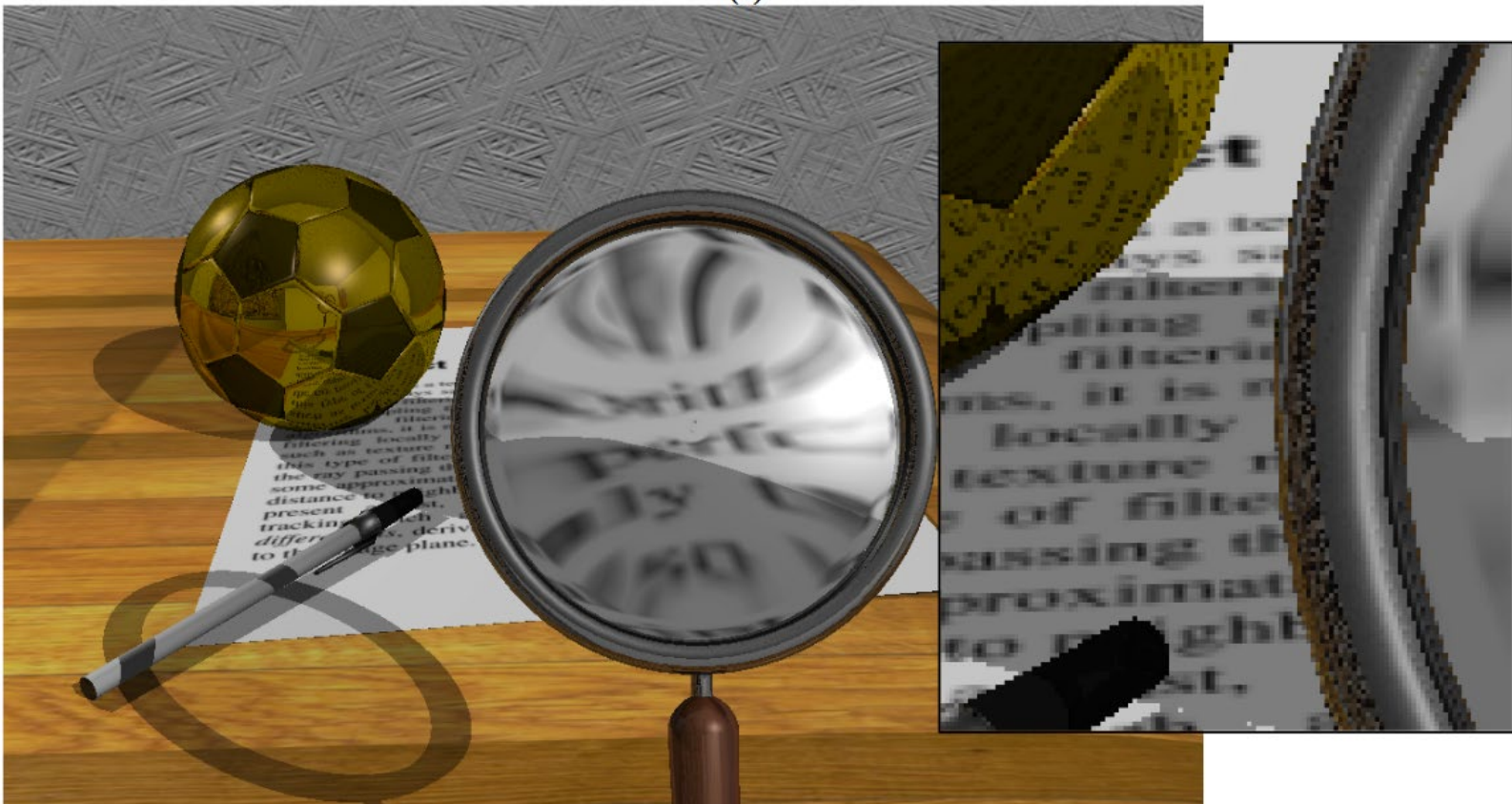


- Even worse, if rays are reflected



# Ray Differentials

- Image below is rendered with MIP-Mapping based on ray distance  
→ excessive blur in magnifying glass because magnification is not considered



# Ray Differentials

- This is how it should look like...





# Ray Differentials

- [Igehy: „Tracing Ray Differentials“, Siggraph 1999](#)

- Idea

- A ray is described by a starting point  $P$  and a direction  $D$  (notation from paper):

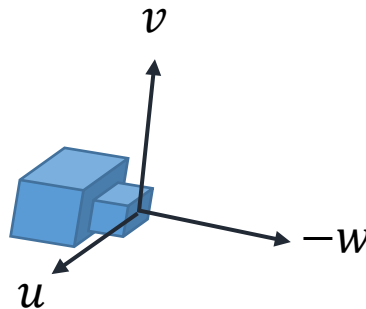
$$P + tD$$

- Starting point  $P$  and direction  $D$  of an eye ray can be expressed in terms of the image position  $(x, y)$ :

$$P(x, y) = e$$

$$D(x, y) = \text{normalized}(-w + xu + yv)$$

where  $(u, v, w)$  are the vectors spanning the camera coordinate system



# Ray Differentials

- Additionally to the ray  $[P, D]$ , we can store the derivatives w.r.t.  $x$  and  $y$ :

$$\left[ P, D, \frac{\partial P}{\partial x}, \frac{\partial P}{\partial y}, \frac{\partial D}{\partial x}, \frac{\partial D}{\partial y} \right]$$

- The ray differentials tell us how fast a ray changes when moving its starting point on the image plane
- We can track these differentials:
  - at a hit point: how does hit point vary  
→ new differential of starting point of secondary ray
  - by reflection: how does reflection direction vary  
→ new differential of direction of secondary ray
- By tracking these differentials, we can approximate the footprint of a single pixel at a hit point

# Ray Differentials

- Initialization:

- We start with a simple eye ray (without depth of field or similar):

$$P(x, y) = e$$

$$d = -w + x \cdot u + y \cdot v$$

$$D(x, y) = \frac{d}{\sqrt{d \circ d}} = \textit{normalized}(d)$$

- Ray differentials:

$$\frac{\partial P}{\partial x}(x, y) = 0$$

$$\frac{\partial D}{\partial x}(x, y) = \frac{(d \circ d)u - (d \circ u)d}{(d \circ d)^{\frac{3}{2}}}$$

(y analog)

# Ray Differentials

- No let's assume we found a hit point at  $t$ :

$$P' = P + tD$$

- For the hit point we can derive:

$$\frac{\partial P'}{\partial x} = \left( \frac{\partial P}{\partial x} + t \cdot \frac{\partial D}{\partial x} \right) + \frac{\partial t}{\partial x} D$$

- We need to compute  $\partial t / \partial x$ 
  - Depends on surface normal at hit point

# Ray Differentials

- Assume hit point is from intersection with plane  $n \circ x = b$ :

$$t = \frac{b - P \circ n}{D \circ n}$$

- Derivative:

$$\frac{\partial t}{\partial x} = \frac{\left( t \frac{\partial D(x, y)}{\partial x} \right) \circ n}{D \circ n}$$

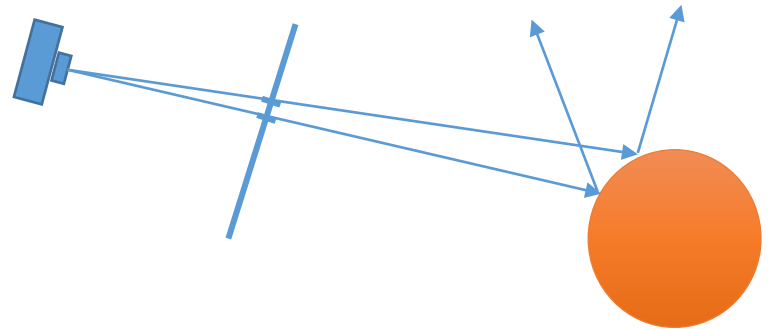
differential of ray direction

# Ray Differentials

- Reflection

- What happens with ray differentials at reflection?
  - Convex surface  $\rightarrow$  opening angle increased
  - Concave surface  $\rightarrow$  rays get focused

- Refraction similar



# Ray Differentials

- Using ray differentials machinery for reflection:
  - Assume a ray hits a surface at  $P$  from direction  $D$ . Then reflect using
$$D' = D - 2(D \circ N)N$$
  - The ray differential of the direction is then

$$\frac{\partial D'}{\partial x} = \frac{\partial D}{\partial x} - 2 \left[ (D \circ N) \frac{\partial N}{\partial x} + \left( \frac{\partial D}{\partial x} \circ N + D \circ \frac{\partial N}{\partial x} \right) N \right]$$

direction differential before reflection

“curvature” of surface

- Refraction similar

# Ray Differentials

- Texture filtering

- Approximate footprint of a pixel in texture space using ray differentials
- Express texture coordinates as function of hit point  $P'$ :

$$T = f(P')$$

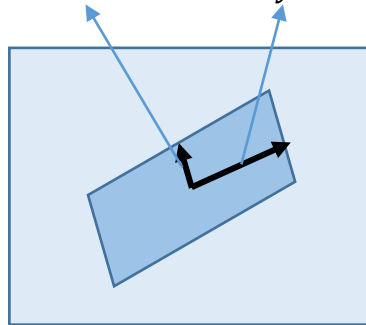
- How fast does texture coordinate change w.r.t.  $(x, y)$ :

$$\frac{\partial T}{\partial x} = \frac{\partial f}{\partial P'}(P'(x)) \frac{\partial P'}{\partial x}$$

computed previously

- So we can estimate the footprint

- $T(x \pm \Delta x, y \pm \Delta y) \approx f(P') \pm \Delta x \frac{\partial T}{\partial x} \pm \Delta y \frac{\partial T}{\partial y}$





# Ray Differentials

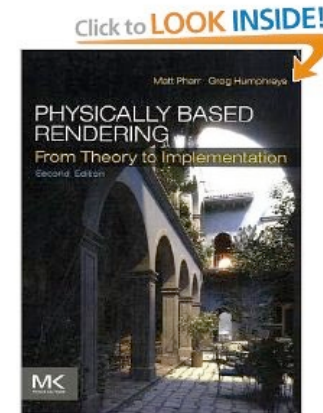
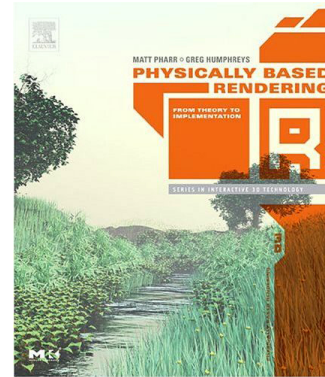
- Footprint is in general not a square, but an arbitrary quadrilateral
- → **Anisotropic filtering** (see lecture “Texture Mapping”) accounts for this and uses arbitrary rectangular filter masks instead of square ones
- Ray differentials deliver information about the footprint



# Additional information

- Books:

- Matt Pharr, Greg Humphreys:  
**„PHYSICALLY BASED RENDERING“**  
Morgan Kaufmann  
<http://www.pbrt.org/>



- Philip Dutre, Kavita Bala,  
Philippe Bekaert:  
**“Advanced Global Illumination”**
- Glassner, Andrew S.:  
**„An Introduction to Ray-Tracing“**  
Morgan Kaufmann San Diego: Academic, 1989

