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Lecture #06

Going 3D

Computer Graphics Winter Term 2020/21

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Content



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- In this lecture:
 - parallel projection
 - more about homogeneous coordinates
 - points, vectors, and normals
 - projective transformations

Parallel Projection



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- Our world is 3D, images are 2D
- We have to project the world to a 2D image
- First attempt:

project along a given direction $d = (d_1, d_2, d_3)$ to a screen plane, e.g. z = 0:

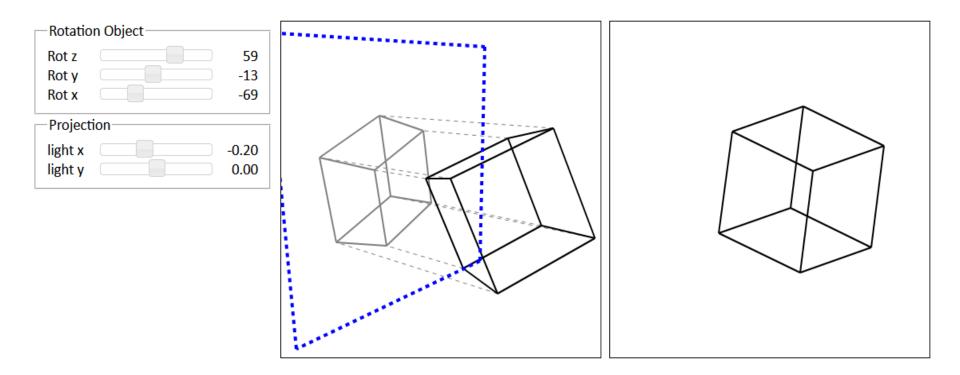
$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} + \alpha d = \begin{pmatrix} x' \\ y' \\ 0 \end{pmatrix} \rightarrow \alpha = -\frac{z}{d_z}$$

$$\begin{pmatrix} x' \\ y \\ z' \end{pmatrix} = \begin{pmatrix} 1 & 0 & -\frac{d_x}{d_z} \\ 0 & 1 & -\frac{d_y}{d_z} \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

Parallel Projection Demo



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WebGL Parallel Projection



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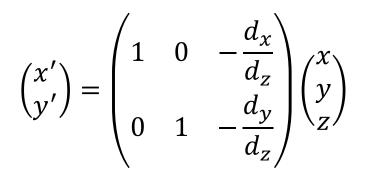


Mapping 3D to 2D



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• We can throw away z to get a $3D \rightarrow 2D$ mapping:



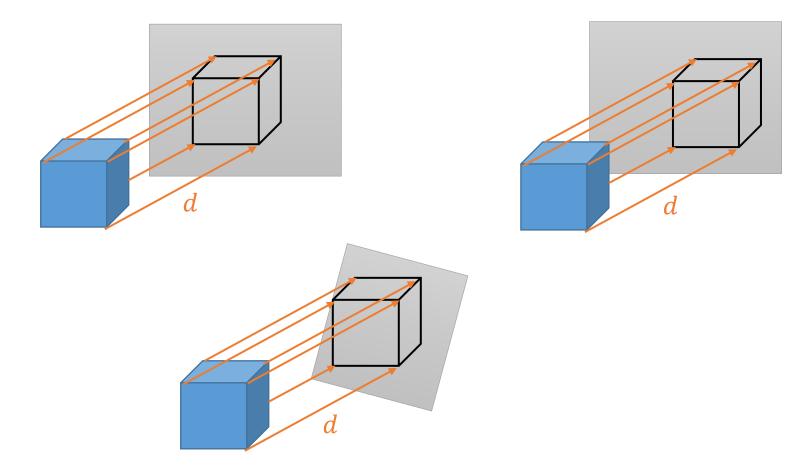
• but it makes sense to keep z, we need it later (e.g. for occlusion):

$$\begin{pmatrix} x' \\ y' \\ z' = z \end{pmatrix} = \begin{pmatrix} 1 & 0 & -\frac{d_x}{d_z} \\ 0 & 1 & -\frac{d_y}{d_z} \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

Parallel Projection



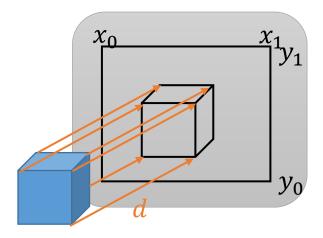
Further question: which part of the image plane becomes our image ?
 → define rectangle on image plane

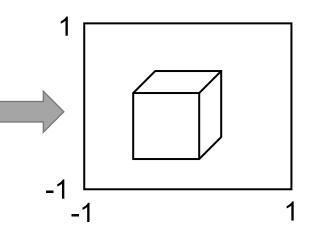


Parallel Projection



• for example, we can use an axis-aligned rectangle $[x_0, x_1] \times [y_0, y_1]$





• With homogeneous coordinates:

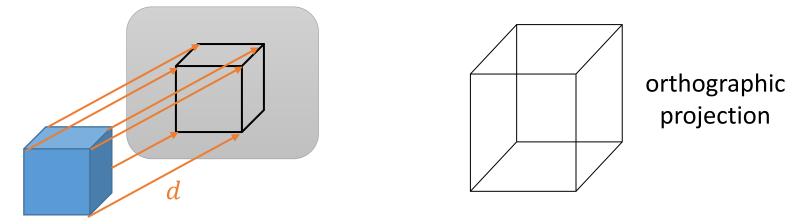
$$\begin{pmatrix} x'\\y'\\z'=z\\1 \end{pmatrix} = \begin{pmatrix} \frac{2}{x_1 - x_0} & 0 & 0 & \frac{x_0 + x_1}{x_0 - x_1} \\ 0 & \frac{2}{y_1 - y_0} & 0 & \frac{y_0 + y_1}{y_0 - y_1} \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & -\frac{d_x}{d_z} & 0 \\ 0 & 1 & -\frac{d_y}{d_z} & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x\\y\\z\\1 \end{pmatrix}$$

Mapping 3D to 2D

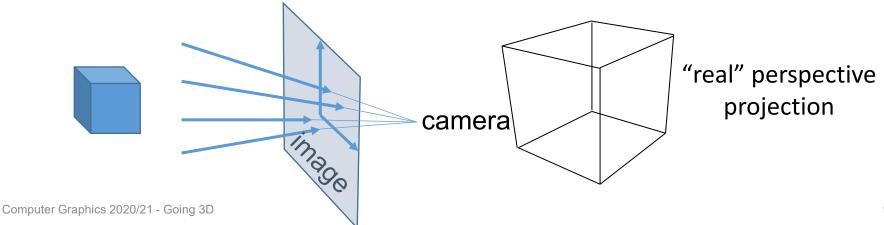


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- Simple projection: parallel projection onto plane
- Affine \rightarrow parallel lines remain parallel



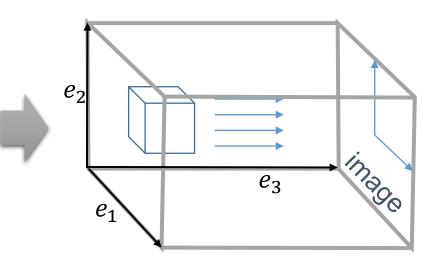
• real perspective \rightarrow point projection (later)



Orthographic Projection



- Alternative interpretation:
 - define a box in 3D



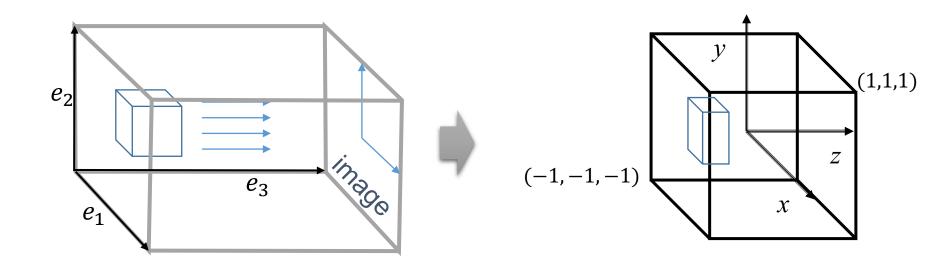
- e_3 is the projection direction
- e_1 and e_2 span the image plane and define the image window
- The scene inside the box is projected to the box's backside
- This can generate all parallel projections !
 → Non-perpendicular projection only results in non-uniform scaling

Orthographic Projection



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- Simple way to compute this:
 - transform box to unit cube $[-1,1]^3$
 - \rightarrow (*x*, *y*) are image coordinates $\in [-1,1]^2$ $\rightarrow z$ is "normalized depth" $\in [-1,1]$
 - usually box is chosen deep enough to contain entire scene
 → all depth values in the range [-1,1]



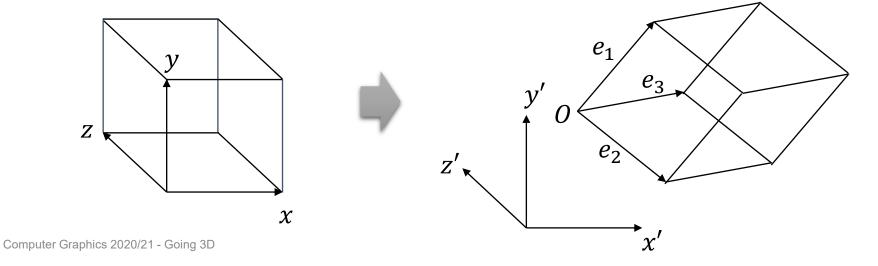


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- Affine Transformations in 3D as in 2D:
 - Coordinate system changes:

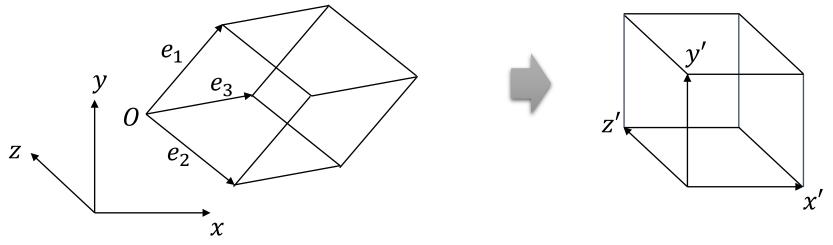
$$\begin{pmatrix} x' \\ y' \\ z' \\ w' \end{pmatrix} = \begin{pmatrix} \vdots & \vdots & \vdots & O_1 \\ e_1 & e_2 & e_3 & O_2 \\ \vdots & \vdots & \vdots & O_3 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \\ w \end{pmatrix}$$

• Maps the unit cube to a parallelepiped





• Other direction: maps any parallelepiped to the unit cube



• use the inverse matrix:

$$\begin{pmatrix} x' \\ y' \\ z' \\ w' \end{pmatrix} = \begin{pmatrix} \vdots & \vdots & \vdots & O_1 \\ e_1 & e_2 & e_3 & O_2 \\ \vdots & \vdots & \vdots & O_3 \\ 0 & 0 & 0 & 1 \end{pmatrix}^{-1} \begin{pmatrix} x \\ y \\ z \\ w \end{pmatrix}$$



- Scalings, Translation, Shearings, Reflections are in 3D as in 2D
 - only three values / skew directions / reflection planes instead of 2

• Translation:
$$translate(d_x, d_y, d_z) = \begin{pmatrix} 1 & 0 & 0 & d_x \\ 0 & 1 & 0 & d_y \\ 0 & 0 & 1 & d_z \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

• Scaling: $scale(s_x, s_y, s_z) = \begin{pmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$
• Z-Shear: $shear_z(d_x, d_y) = \begin{pmatrix} 1 & 0 & d_x & 0 \\ 0 & 1 & d_y & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$



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$$\cdot \operatorname{Rot}_{X}(\phi) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \phi & -\sin \phi & 0 \\ 0 & \sin \phi & \cos \phi & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$
$$\cdot \operatorname{Rot}_{Y}(\phi) = \begin{pmatrix} \cos \phi & 0 & \sin \phi & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \phi & 0 & \cos \phi & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$
$$\cdot \operatorname{Rot}_{Z}(\phi) = \begin{pmatrix} \cos \phi & -\sin \phi & 0 & 0 \\ \sin \phi & \cos \phi & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

• But how do we describe arbitrary rotations ?



- For now, we only rotate around the origin
 → a 3x3 matrix is sufficient
- The columns of a rotation matrix are the unit vectors after rotation:

$$R\begin{pmatrix} x\\ y\\ z \end{pmatrix} = \begin{pmatrix} u_x & v_x & w_x\\ u_y & v_y & w_y\\ u_z & v_z & w_z \end{pmatrix} \begin{pmatrix} x\\ y\\ z \end{pmatrix}$$

- Here *u*, *v*, *w* are the main axes after the rotation
- For rotation matrices, the inverse is simply the transpose:

$$R^{-1} = R^T$$



- Special case: orthonormal e_1, e_2, e_3 : $e_1 \circ e_2 = e_1 \circ e_3 = e_2 \circ e_3 = 0, \qquad e_1 \circ e_1 = e_2 \circ e_2 = e_3 \circ e_3 = 1$
- = Rigid Transformation: rotation + translation
- The inverse of rotation = transposed (for linear part)

$$\begin{pmatrix} \vdots & \vdots & \vdots & O_1 \\ e_1 & e_2 & e_3 & O_2 \\ \vdots & \vdots & \vdots & O_3 \\ 0 & 0 & 0 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} & R & & \vdots \\ & R & & t \\ & & & \vdots \end{pmatrix}^{-1} = \begin{pmatrix} & R^T & & -R^T t \\ & & & \vdots \\ 0 & 0 & 0 & 1 \end{pmatrix}$$



- Caveat:
 - a matrix with orthonormal rows (or columns) is called "orthogonal"
 - a matrix with orthogonal rows (or columns) is called "matrix" ©



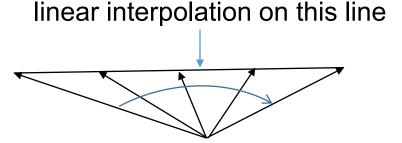
- The description of 3D rotations is a core problem in computer graphics
 - Positioning objects in the world
 - Animating objects (= interpolating rotations)
 - Modeling camera animations
 - ...
- Two important questions:
 - how to describe a rotation ?
 - how to interpolate rotations ?
 - \rightarrow Some representations result in awkward interpolation



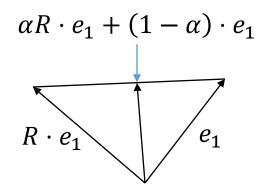
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- How to specify rotations in 3D
 - Orthogonal matrices
 - 3 Euler rotations, e.g.
 - Rotz \rightarrow Rotx \rightarrow Rotz
 - Rotz \rightarrow Roty \rightarrow Rotz
 - $Rotx \rightarrow Roty \rightarrow Rotz$
 - Axis of rotation and angle
 - Quaternions
- Etc, e.g. 2 (planar) reflections

- Orthonormal matrices
 - 9 degrees of freedom for matrix, 6 of which are fixed by constraints
 - Not very intuitive (user interface?)
 - Interpolation
 - Linear interpolation
 → interpolation of unit vectors
 - Requires renormalization
 - Non-uniform animation
 → see later: slerp-interpolation
 - Impossible for 180° rotations



non-uniform in angular space









- Euler angles
 - Any rotation can be given by three rotations about the main axes, e.g. X, Y, and Z (Leonhard Euler 1707 – 1783)
 - If the rotations angles about Z, Y, and Z are ψ , θ , and ϕ respectively, then the rotation matrix is:

 $R = R_z(\phi)R_y(\theta)R_z(\psi)$

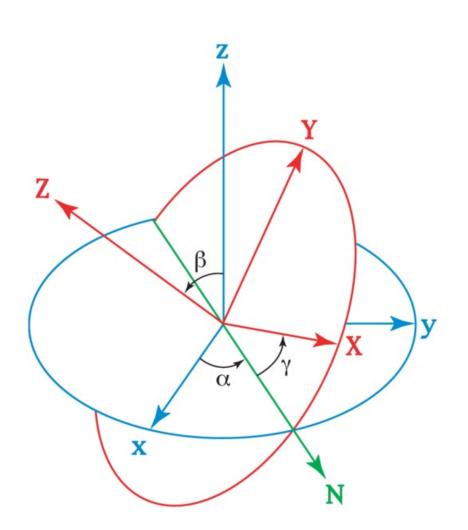
$$= \begin{pmatrix} \cos\theta\cos\phi & \sin\psi\sin\theta\cos\phi - \cos\psi\sin\phi & \cos\psi\sin\theta\cos\phi + \sin\psi\sin\phi \\ \cos\theta\sin\phi & \sin\psi\sin\theta\sin\phi + \cos\psi\cos\phi & \cos\psi\sin\theta\sin\phi - \sin\psi\cos\phi \\ -\sin\theta & \sin\psi\cos\theta & \cos\psi\cos\theta \end{pmatrix}$$

• The angles ψ , θ , and ϕ are called **Euler angles**.

- Euler angles for the Euler rotation z x z with angles $\alpha \beta \gamma$
- For given angles, the matrix can be computed as $R_z(\gamma)R_x(\beta)R_z(\alpha)$



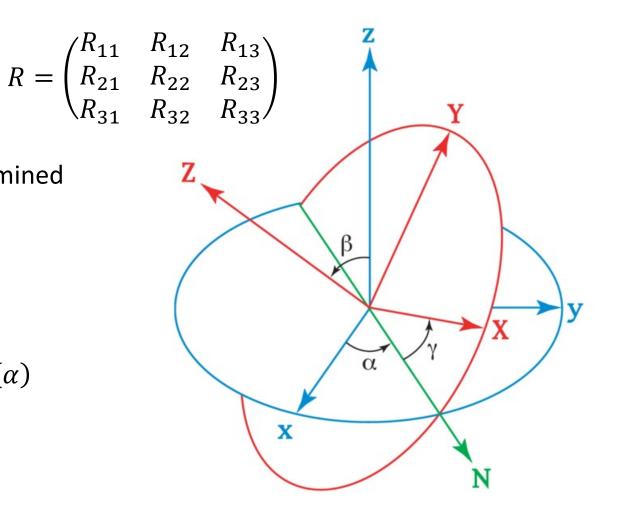
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• If the rotation matrix is given as:

the angles can be determined accordingly:

- $-\sin\beta = R_{31}$
- $\tan \alpha = R_{32}/R_{33}$
- $\tan \gamma = R_{21}/R_{11}$
- for $R = R_z(\gamma)R_x(\beta)R_z(\alpha)$





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- compact
- sort of intuitive (more than matrices)
- not suited for interpolation: Gimbal Lock problem
- Watch this great explanatory video: <u>https://www.youtube.com/watch?v=zc8b2Jo7mno</u>

Euler Angles and Gimbal Lock Demo

Rotation #1 Rot z 0 Rot y -90 Rot x 0	
interpolate 0.00	
Rotation #2	
Rot z -90 Rot y 0 Rot x 90	
Choose critical	

Axis and Angle

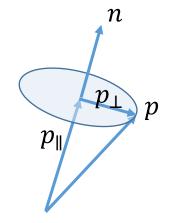


- Specify rotation by an axis n, ||n|| = 1, and a rotation angle ω
- Derivation of corresponding matrix
 - \rightarrow transform some point p
 - decompose p into parallel (to n) and orthogonal components: $p = p_{\parallel} + p_{\perp}$, where $p_{\parallel} = (n \circ p)n$ and $p_{\perp} = p - p_{\parallel}$
 - Create local coordinate system $\{p_{\perp}, n \times p, n\}$, where $n \times p = n \times p_{\perp}$
 - Rotate p_{\perp} about n

 $rot(p_{\perp}) = p_{\perp} \cos \omega + (n \times p) \sin \omega$

add the parallel component

 $rot(p) = p_{\perp} \cos \omega + (n \times p) \sin \omega + p_{\parallel}$



Axis and Angle



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- Can we express this in a matrix?
- Rodrigues formula

•
$$p_{\parallel} = (p \circ n)n = \dots = \begin{pmatrix} n_x^2 & n_x n_y & n_x n_z \\ n_y n_x & n_y^2 & n_y n_z \\ n_z n_x & n_z n_y & n_z^2 \end{pmatrix} p = (n \cdot n^T)p = Pp$$

- $n \cdot n^T$ is called "outer product"
- $n \times p$ can also be written as matrix:

$$n \times p = \begin{pmatrix} 0 & -n_z & n_y \\ n_z & 0 & -n_x \\ -n_y & n_x & 0 \end{pmatrix} p = Qp$$

• Q is called "skew symmetric" form of n

Axis and Angle



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•
$$rot(p) = p_{\perp} \cos \omega + (n \times p) \sin \omega + p_{\parallel}$$

= $(p - p_{\parallel})cos\omega + Q p \sin \omega + p_{\parallel}$
= $(I - P) \cos \omega \cdot p + Q \sin \omega \cdot p + P \cdot p$

Then

$$R(\omega, n) = P + \cos \omega (1 - P) + \sin \omega Q$$

- Often used definition: scale rotation axis by rotation angle
 - Define rotation with an arbitrary vector w
 - Length of w is rotation angle, normalized w is axis



• Remember: complex numbers add further, imaginary component to real number:

$$(\mathbf{x},\mathbf{y}) = x + iy$$

- Addition, multiplication etc. can be defined on these such that they form a field (Körper), and we can use them almost like real numbers
- Multiplication with a unit length complex number $(\cos\omega,\sin\omega)$ is equivalent to a rotation by ω



• Quaternions carry this idea further and add three imaginary components:

$$(x, y) = x + i_1 y_1 + i_2 y_2 + i_3 y_3$$

- Note: *y* is a 3D-vector
- Computing with quaternions:

$$q + q' = (a, b) + (c, d) = (a + c, b + d)$$

$$k \cdot q = k \cdot (a, b) = (ka, kb)$$

$$q \cdot q' = (a, b) \cdot (c, d) = (ac - b \circ d, ad + bc + b \times d)$$

$$q \circ q' = (a, b) \circ (c, d) = ac + b \circ d$$

- Further operations:
 - Conjugate of a quaternion $q: q^* = (a, b)^* = (a, -b)$
 - Norm of a quaternion $q: \|q\|_2 = \sqrt{qq^*} = \sqrt{q \circ q}$
 - Inverse of a quaternion $q: q^{-1} = \frac{q^*}{\|q\|_2^2}$



- Quaternions can be used to describe rotations in 3D, like complex numbers describe rotations in 2D
- Consider a unit length quaternion q
- A rotation can be applied to a vector $v \in \mathbb{R}^3$
 - Transform v to a quaternion $v \rightarrow (0, v)$
 - Rotate using $q \cdot (0, v) \cdot q^{-1}$
 - Result will have real part 0, imaginary part is rotated vector!

$$v \to (0, v) \to q \cdot v \cdot q^{-1} \to (0, rot(v)) \to rot(v)$$

- Every rotation can be described by a quaternion and vice versa !
- Concatenation of two rotations q and r:

 $v \to q \cdot v \cdot q^{-1} \to r \cdot q \cdot v \cdot q^{-1} \cdot r^{-1} = (rq) \cdot v \cdot (rq)^{-1}$ is simple product



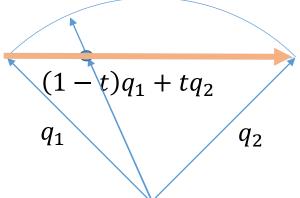
- Rotation about axis *n* by angle ω is expressed by the quaternion $q = (\cos \frac{\omega}{2}, n \cdot \sin \frac{\omega}{2})$
- Scaling doesn't change the rotation
 → we usually look at unit quaternions only
- q and -q describe the same rotation, otherwise the mapping is unique
 - Every rotation is represented by exactly two unit quaternions
 - Every unit quaternion describes a rotation



- Application: Interpolation of rotations
 - Assume you have an object under rotation R_1 and want to animate it to a new rotation R_2
 - Interpolating matrices fails
 - Interpolating Euler angles will result in very weird movements
 - Interpolating quaternions works better...
 - ... when using **spherical interpolation**

- Linear interpolation of unit vectors requires renormalization
- Velocity is not uniform
- Instead, we should directly interpolate on the sphere
 → spherical interpolation:





$$q(t) = \frac{\sin((1-t)\Omega)}{\sin\Omega}q_1 + \frac{\sin(t\Omega)}{\sin\Omega}q_2$$
$$\cos(\Omega) = q_1 \circ q_2$$
often called "slerp" q_1 q_2

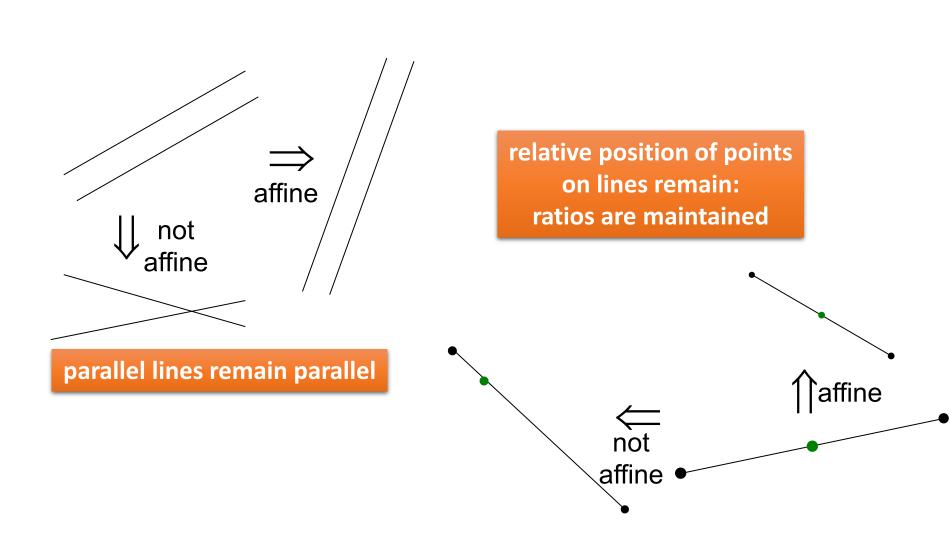
Computer Graphics 2020/21 - Going 3D



- TECHNISCHE FAKULTÄT
- Affine = combination of linear transformation and translation $x \rightarrow Ax + b$
- Abstract characterization
 - Maps lines to lines
 - Parallel lines will be mapped to parallel lines
 - Division ratios are preserved
 - Angles are not preserved
 - Examples: rotations, translation, scaling, shears

Affine Transformations





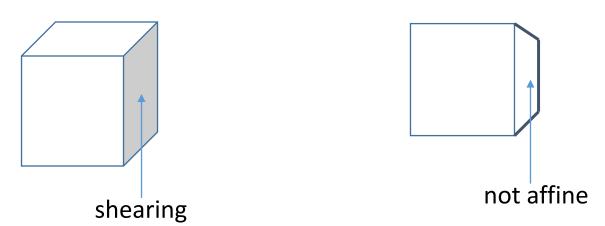
Perspective ?



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• Look at the image of a cube

parallel projection: affine mapping real perspective projection: projective mapping



- \rightarrow we need **projective mappings** to describe perspective
- \rightarrow we need to reconsider homogeneous coordinates



- i. simplify geometric computations
- ii. unify points and vectors
- iii. allow us to describe projective transformations

Homogeneous Coordinates – Fun Factor

• Implicit equation of a line:

$$s \cdot x + t \cdot y + u = 0$$

l = (s, t, u)

 $p \circ l = 0$

 \rightarrow (*s*, *t*) is normal to line, i.e. line direction is (-*t*, *s*) or (*t*, -*s*)

• We can thus represented this line by

 \rightarrow a point p = (x, y, 1) is on the line l if

• The line through two points p and q can be computed as

• The intersection point between two lines l and m is

 $p = l \times m$

 $l = p \times q$





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• Difference of **Points** and **Vectors (= Directions)**

Points

- Position in space
- Elements of Euclidean space E
- No algebraic structure
 - No addition
 - no multiplication with scalars, etc.

vector point

Vectors

- Difference between two points
- Elements of a vector space ${\mathcal V}$
- Algebraic structure
 - Addition of vectors
 - Multiplication with scalars
 - Linear combination of vectors
 - etc.



- Affine space: points and vectors
 - Given two points $a, b \in E$ there exists a unique vector in V: ab = b a
 - Algebraic structure:
 - ab = b a difference of two points is vector
 - b = a + ab point + vector = point
 - ab + bc = ac Vector + vector = vector
- Often, Euclidean space and vector space are mixed
- Point is then considered as a vector from origin to point



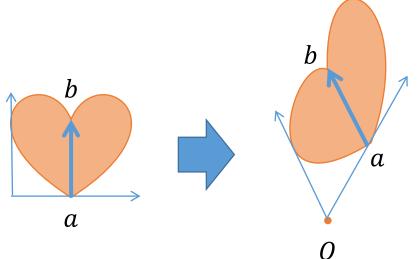
- Some special linear combinations of points p_i are valid operations:
- Barycenter, affine combination \rightarrow barycentric coordinates
 - $p = \sum_i \lambda_i p_i$ is a point if $\sum_i \lambda_i = 1$
 - Example.: 0.5 a + 0.5 b = c = midpoint of a and b
- Generalized Difference:
 - $v = \sum_i \lambda_i p_i$ is a vector if $\sum_i \lambda_i = 0$
 - Example.: -a + b = b a = vector from a to b



- With homogeneous coordinates:
- Points p = (x, y, 1)
- Vectors v = (x, y, 0)

Affine Transformations + Hom. Coord.

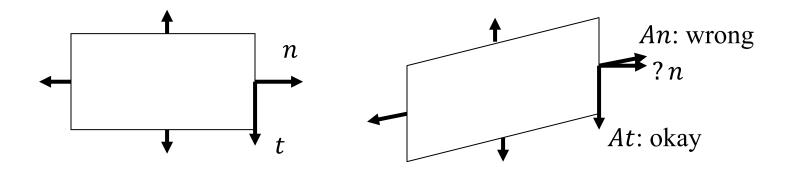
- Remember: affine transformation of points $x \rightarrow Ax + t$
- but vectors?
 - v = b a
 - $v \rightarrow Ab + t (Aa + t) = A(b a) = Av$
 - becomes linear transformation with A, without translation t
- Directly works with vectors in the form (x, y, 0)!



Affine Normal Transformations



- More tricky: transforming normal vectors
 - Normals are perpendicular to tangent plane of a surface
 - Transformation with matrix A will differ from transformation of underlying surface



• We will need this later for lighting !

Affine Normal Transformations



- Matrix N for transformation of normal n
 - Perpendicular to tangent vector $n^T \cdot t = 0$
 - Transformed normal n' should also be perpendicular to transformed tangent t' = At
 - $n^T \cdot t = n^T \cdot I \cdot t$

$$= n^{T} \cdot A^{-1}A \cdot t$$

= $(n^{T}A^{-1}) \cdot t' = {}^{!} 0$
• $\rightarrow n' = (n^{T}A^{-1})^{T} = (A^{-1})^{T}n = A^{-T}n$

- Result: transform normal vectors by matrix A^{-T}
- Remark: if M is orthogonal (rigid transformation) then $A^{-T} = A$
- Remark: length of normal vector can change!



• More general: lift 2D point to homogeneous coordinates:

$$\binom{x}{y} \to \binom{xw}{yw}_{w}$$

• "Dehomogenize" \rightarrow map back to 2D:

$$\binom{x}{y}_{W} \to \binom{x/w}{y/w}$$

- w is a "common denominator"
- $w = 0 \rightarrow \text{point at infinity} \rightarrow \text{direction} \rightarrow \text{vector}$



• Transformations on homogeneous coordinates:

$$\binom{x}{y} \to \binom{x}{y} \xrightarrow{\cdot A} \binom{x'}{y'}_{w'} \to \binom{\frac{x'}{w'}}{\frac{y'}{w'}}$$

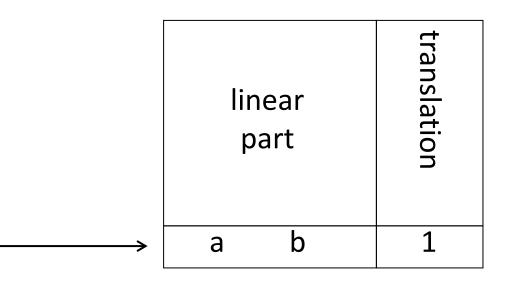
• For a 3x3 matrix $A = (a_{ij})$:

$$\binom{x}{y} \to \frac{1}{a_{31}x + a_{32}y + a_{33}} \binom{a_{11}x + a_{12}y + a_{13}}{a_{21}x + a_{22}y + a_{23}}$$



• When we use the last line of a matrix and homogeneous coordinates, we enter a new class of transformations:

projective transformations



Projective Transformations

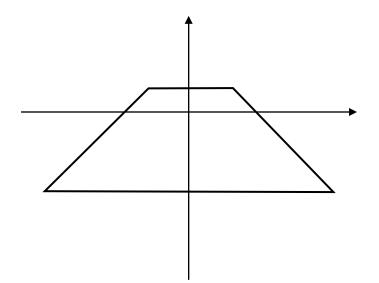


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• Example
$$M = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 2 \end{pmatrix}$$

• $(1,1) \rightarrow \left(\frac{2}{3}, \frac{1}{3}\right)$
• $(-1,1) \rightarrow \left(-\frac{2}{3}, \frac{1}{3}\right)$
• $(-1,-1) \rightarrow (-2,-1)$

•
$$(1,-1) \rightarrow (2,-1)$$

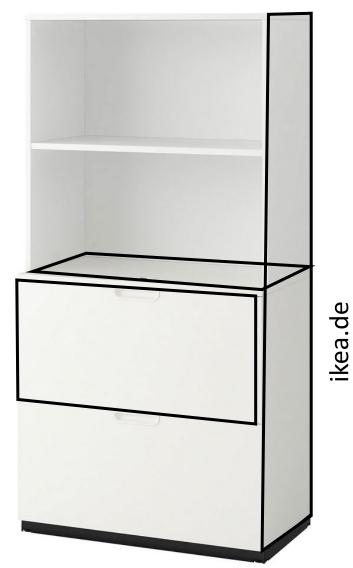


looks like a perspective image of a square, right?

Projection and Perspective

- For each convex quadrilateral there is a unique projective transformation that maps the unit square to the quadrilateral
- Perspective is a projective mapping!





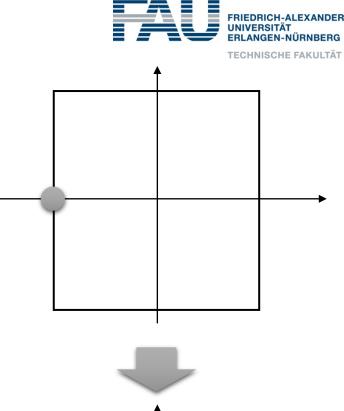
Projective Transformations

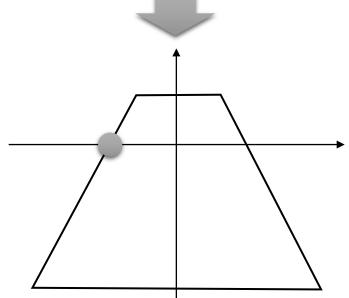
• Properties

- Parallel lines do not remain parallel
 → look at vertical lines
- Lines are mapped to lines
 → can be shown easily
- Ratios are not preserved \rightarrow look at marked point

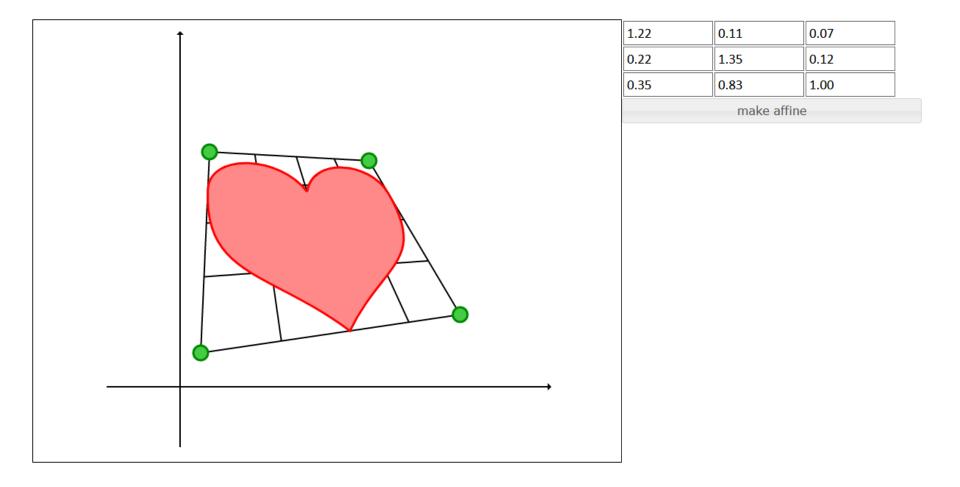
\rightarrow try it:







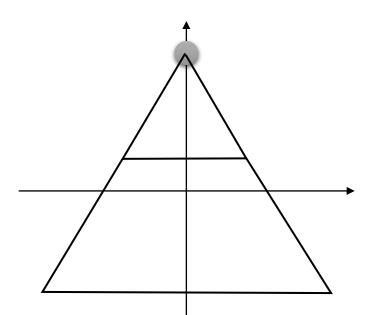




Projective Transformations



- points can be mapped to points at infinity and vice versa
- Example from before $M = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 2 \end{pmatrix}$
- $\lim_{y \to \infty} M \begin{pmatrix} 0 \\ y \end{pmatrix} = (0,1)$



Side Remark Felix Klein's "Erlanger Programm"



Aus <u>Wikipedia "Erlanger Programm"</u>

- Die elementare euklidische Geometrie oder Kongruenzgeometrie ist die Geometrie des Anschauungsraumes, deren Transformationsgruppe die Gruppe der Bewegungen (also der Translationen, Drehungen oder Spiegelungen) ist, die alle **längen- und winkeltreue Abbildungen** sind.
- Verzichtet man bei den zugelassenen Transformationen auf die Längentreue und lässt auch Punktstreckungen zu, so erhält man die äquiforme Gruppe der Transformationen, die die Ähnlichkeits- oder äquiforme Geometrie kennzeichnet.
- Verzichtet man auch auf die Winkeltreue, so gelangt man zur Transformationsgruppe der bei Koordinatendarstellung linearen Transformationen, d.h. der Kollineationen, die das Teilverhältnis je dreier Punkte erhalten. Sie kennzeichnen die affine Geometrie.
- Fügt man schließlich zum Anschauungsraum noch unendlich ferne oder uneigentliche Punkte als Schnittpunkte von Parallelen hinzu, so lassen die Kollineationen in diesem Raum das Doppelverhältnis von je vier Punkten invariant und bilden die Gruppe der projektiven Transformationen, deren zugehörige Geometrie die **projektive Geometrie** ist.

Side side remark



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- Felix Klein is also the inventor of the Klein Bottle
- We will come back to this later in this lecture



From Futurama

Next Lecture



TECHNISCHE FAKULTÄT

• Real Perspective !